

Deforesting LF

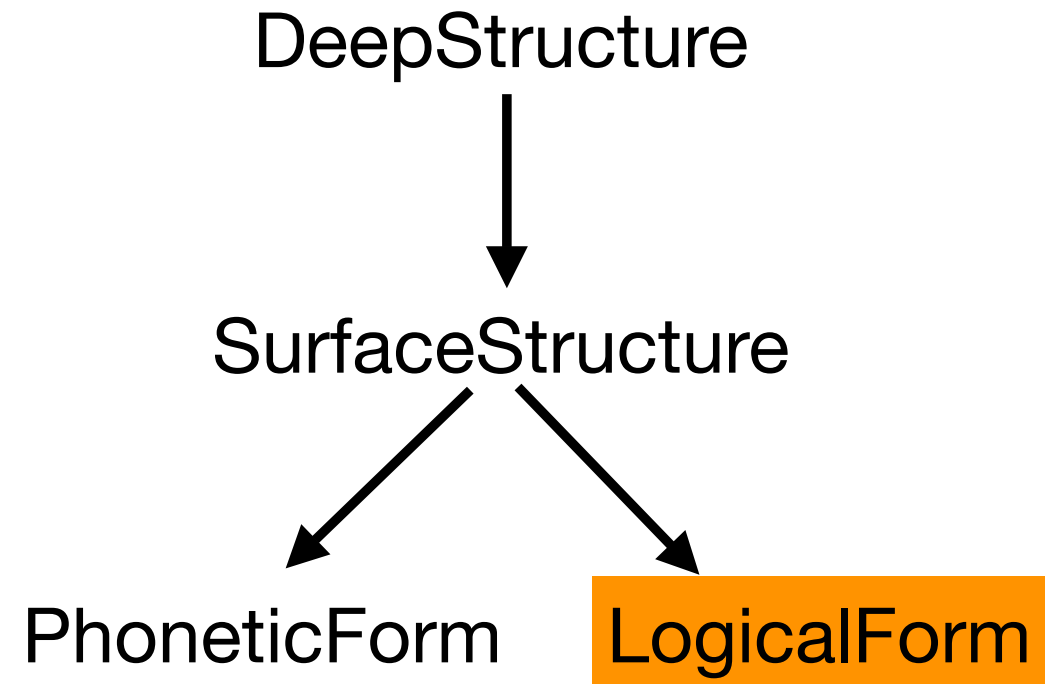
John Hale

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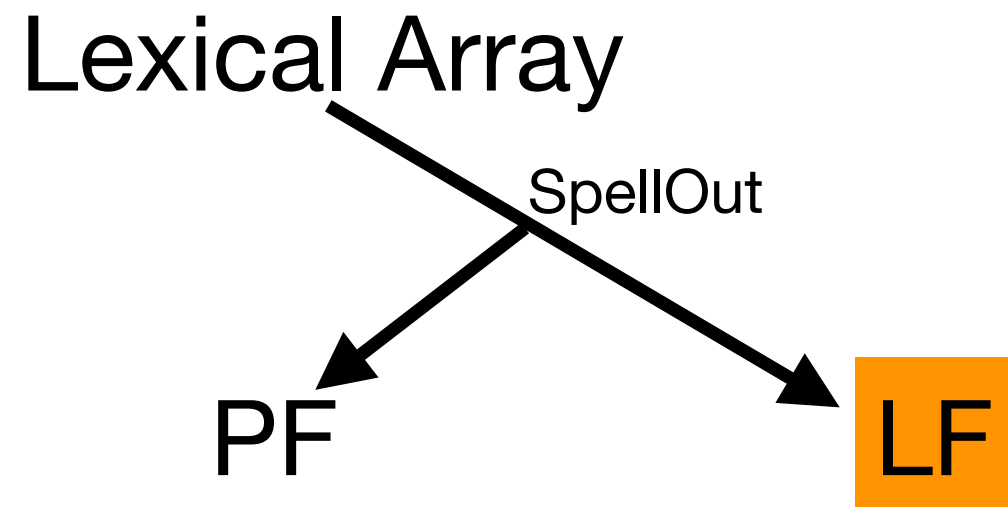
Mathematics of Language
July 29th 2007

LF in competence grammar

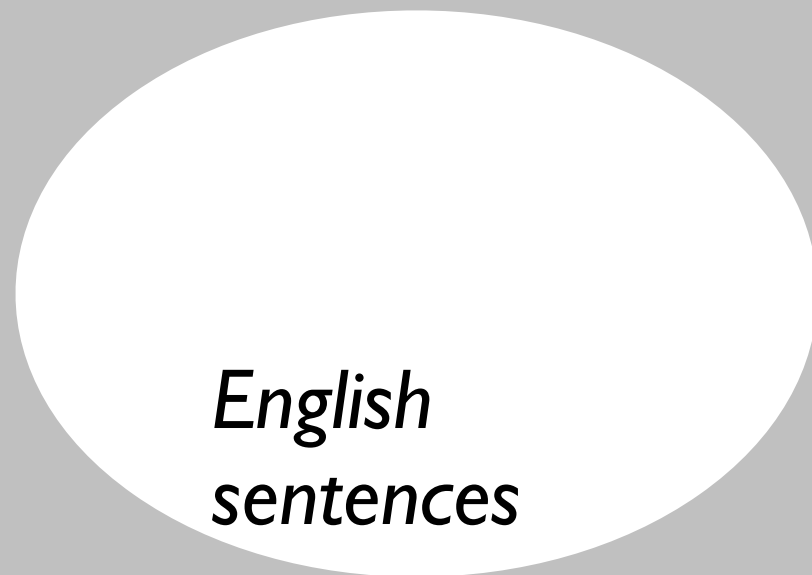
Government-Binding ca. 1981



Minimalism ca. 1994



Competence grammar as computational-level theory

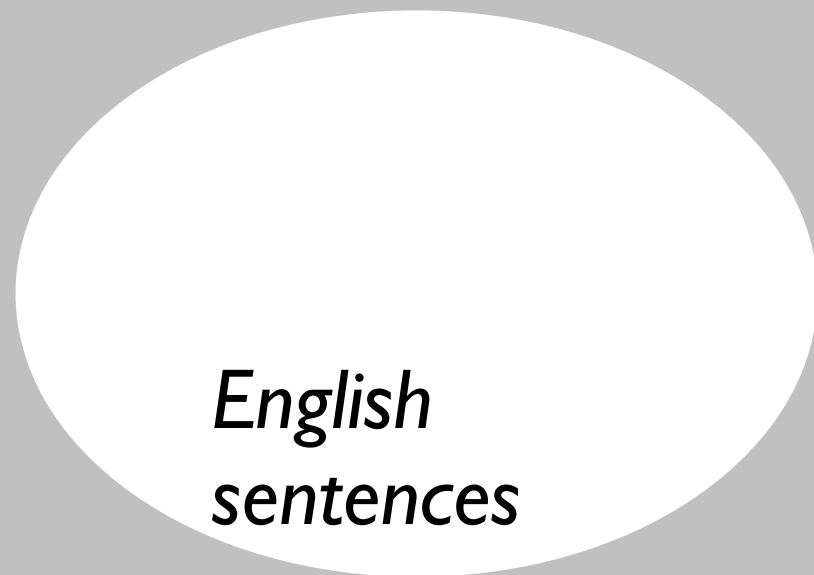


English
sentences

all sequences of dictionary words

*A sound/meaning
pair (s,i) is an
English sentence iff
there exists a
derivation....*

Competence grammar as computational-level theory

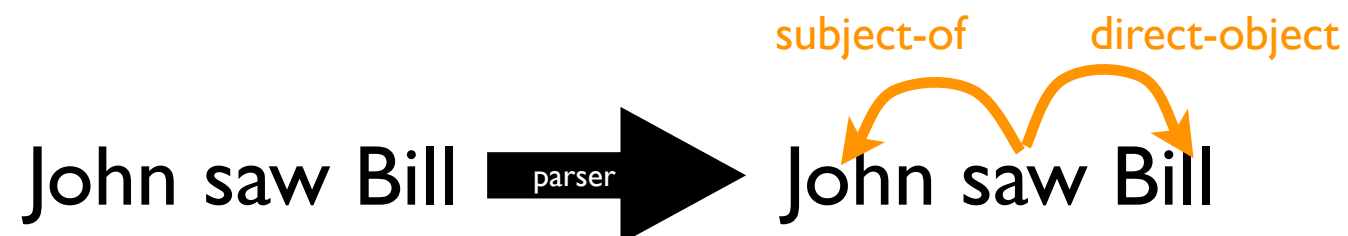


all sequences of dictionary words

A sound/meaning pair (s,i) is an English sentence iff there exists a derivation....

Performance model as algorithmic-level theory

Given s , calculate i .



Claims in this talk



Yes

Competence Hypothesis

Reasonable performance models
incorporate competence grammar
(Chomsky 65)



No

LF Causal Relevance Hypothesis

LF is causally implicated
in language processing
(Berwick & Weinberg 84)

Form of argument

Yes

Competence Hypothesis

- a combinator parser s whose program text directly mirrors the grammar
- a quantifier raising function qr that builds LFs, given surface structures as input
- a function `convert` that maps LFs to wffs in a logical language

No

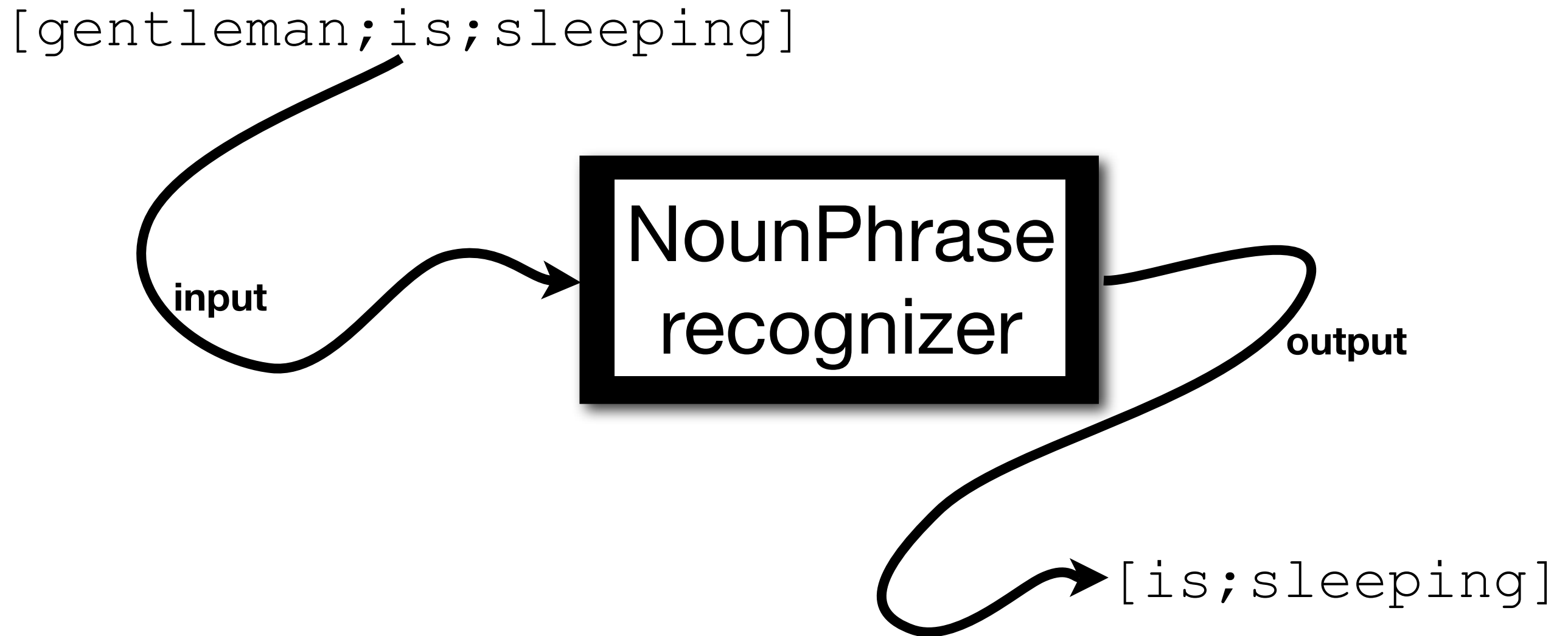
LF Causal Relevance Hypothesis

- a program computing the same function as $convert \circ qr$ can be derived that never builds LFs

Plan of talk

1. Combinator parsers
2. Quantifier Raising
3. How to get rid of LF in a parser by using deforestation

Combinator recognizers



(Burge 75, Leermakers 93...)

Sequencing

[kind;old;gentleman;is;sleeping]

input

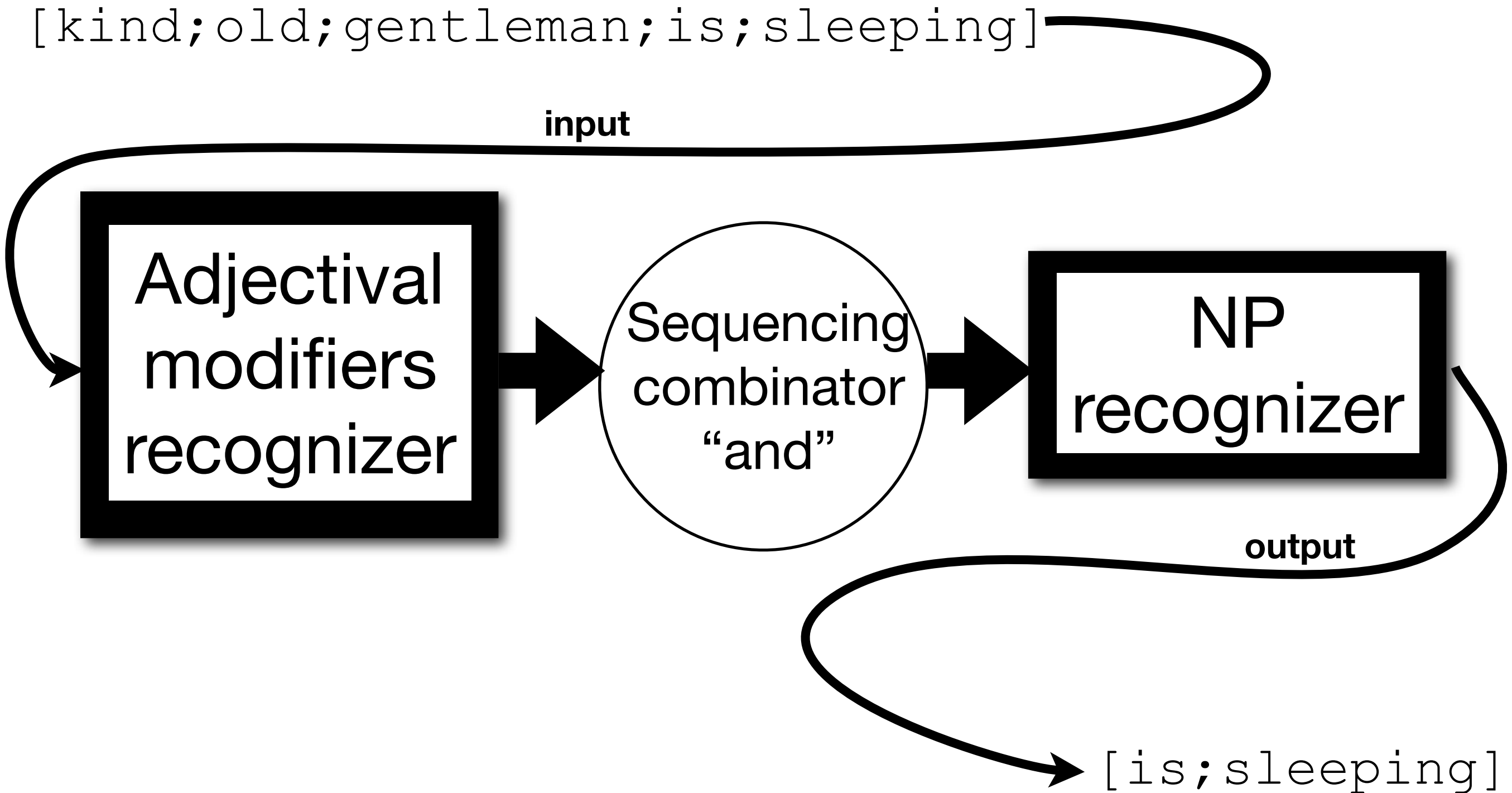
Adjectival
modifiers
recognizer

Sequencing
combinator
“and”

NP
recognizer

output

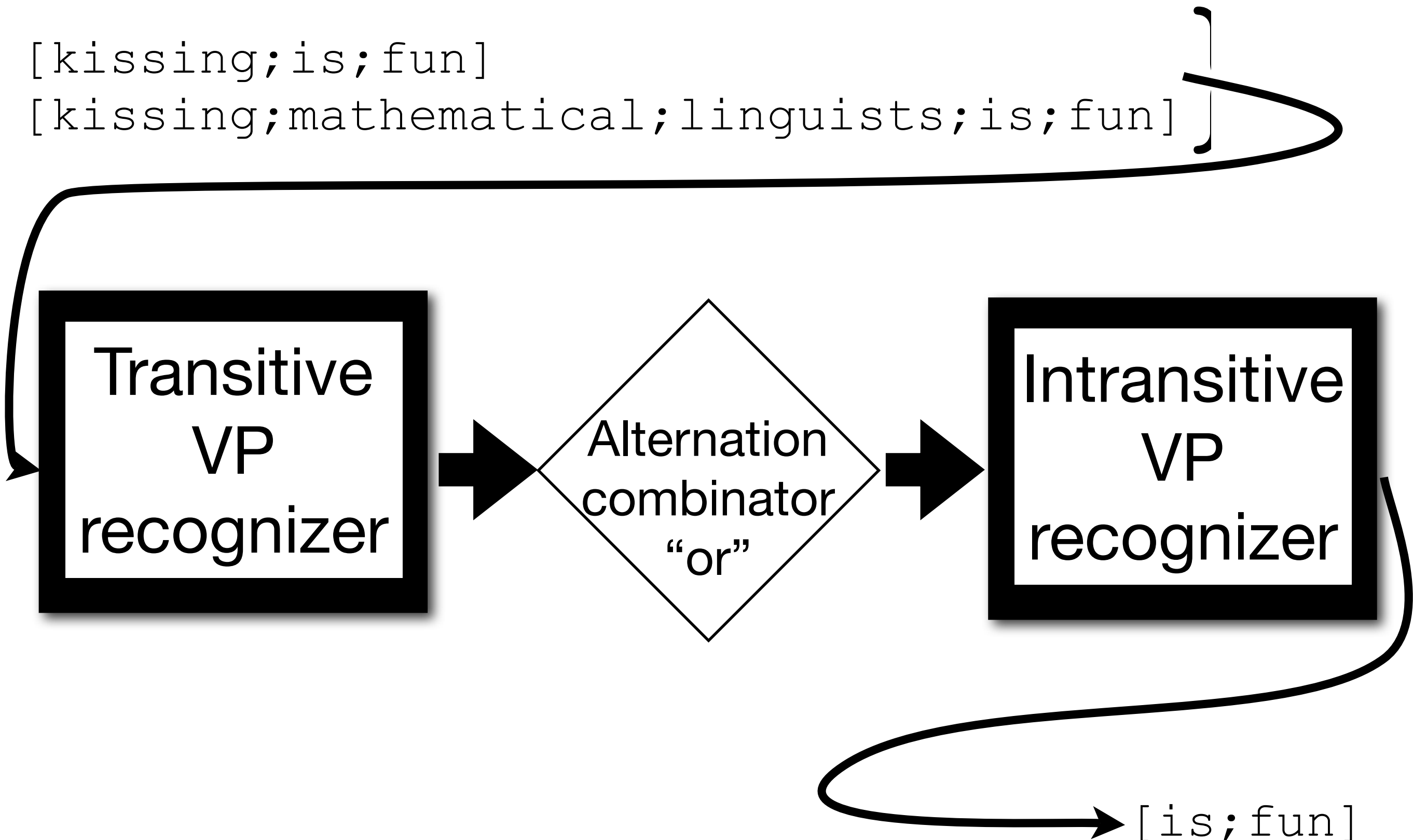
[is;sleeping]



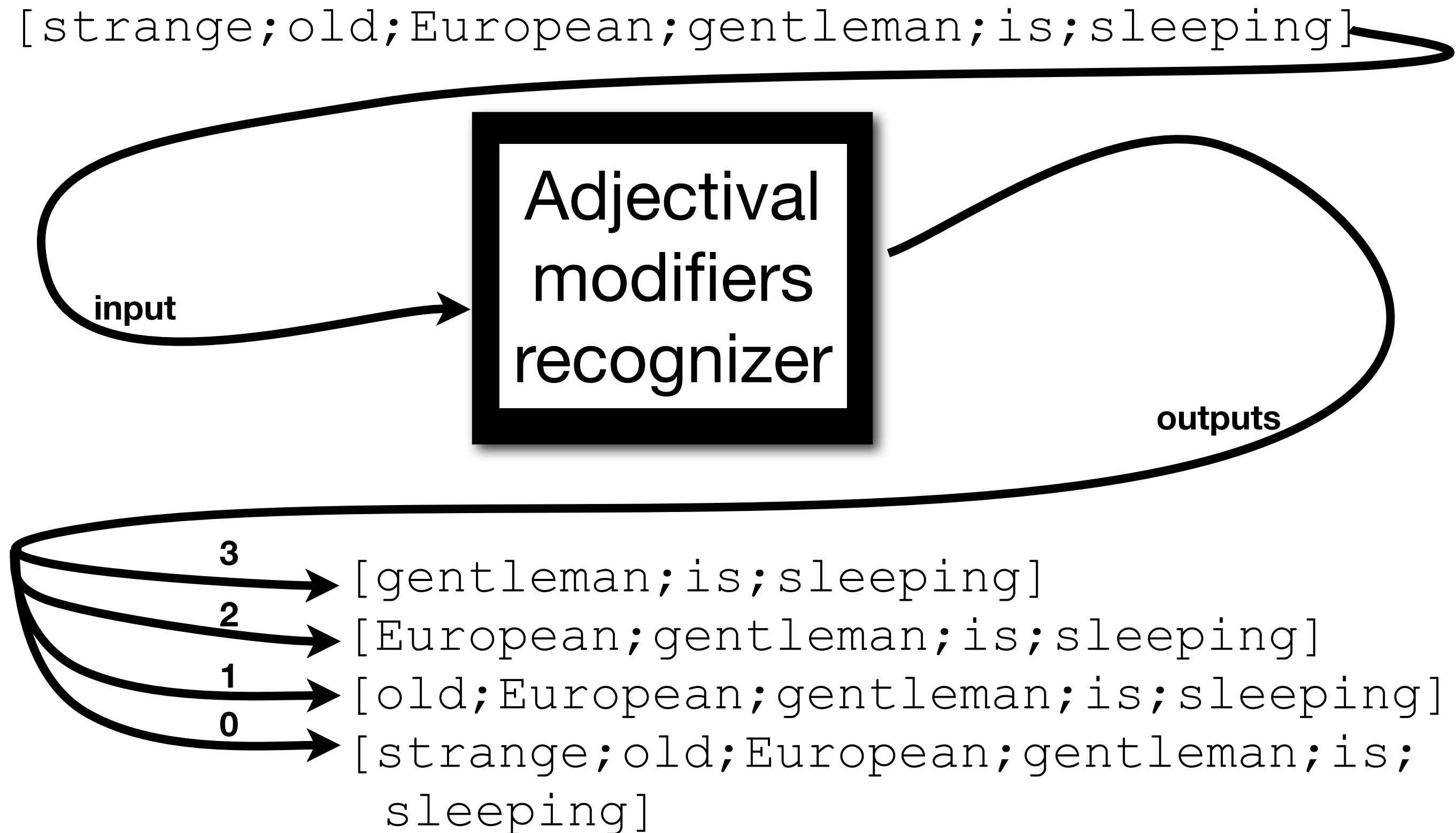
Alternatives

[kissing;is;fun]

[kissing;mathematical;linguists;is;fun]



Nondeterminism



Suffixes of Strings

$w =$

MoL is a great place to meet mathematical linguists

$\text{suffixes}(w) =$

{MoL is a great place to meet mathematical linguists,
is a great place to meet mathematical linguists,
a great place to meet mathematical linguists,
great place to meet mathematical linguists,
place to meet mathematical linguists,
to meet mathematical linguists,
meet mathematical linguists,
mathematical linguists,
linguists,
 ϵ }

Caml datatype

```
(* encode string positions with suffixes *)
module Suffix =
  struct
    type t = string list
    let compare = compare
  end
module SuffixSet = Set.Make(Suffix)

(* can succeed many ways, encode nondeterminism with a set *)
type recognizer = SuffixSet.elm → SuffixSet.t
```

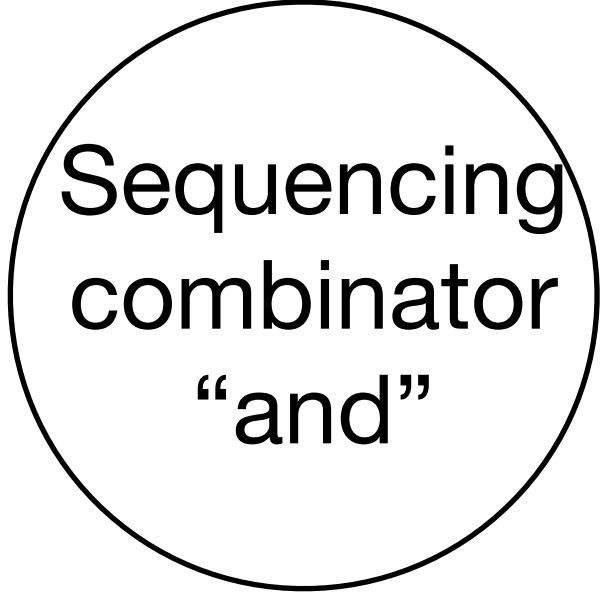
Combinators



Alternation
combinator
“or”

```
let union = SuffixSet.union
```

```
let (alt : recognizer → recognizer → recognizer) =  
  fun a b inp → union (a inp) (b inp)
```



Sequencing
combinator
“and”

```
let map f s = SuffixSet.fold  
  (fun x prev => union (f x) prev)  
  s  
  SuffixSet.empty
```

```
let (seq : recognizer → recognizer → recognizer) =  
  fun a b inp → map b (a inp)
```

Terminal recognizers

```
let gentleman = function inp → match inp with
  [] → SuffixSet.empty    (* inp has no head *)
| t :: ts → if t="gentleman"    (* inp has a head... *)
              then SuffixSet.singleton ts    (* which we seek *)
              else SuffixSet.empty    (* not the one we want *)
```

Grammar

IP → DP I1
DP → D1
NP → N1
VP → V1
CP → C1
I1 → I0 VP
D1 → D0 NP
N1 → N0 CP
N1 → N0
V1 → V0
C1 → C0 IP
I0 → will
D0 → the
N0 → idea
V0 → suffice
C0 → that

} ambiguity

Recognizer

```
let rec ip words = (dp &. i1)
  words
and dp words = d1
  words
and np words = n1
  words
and vp words = v1
  words
and cp words = c1
  words
and i1 words = (i0 &. vp)
  words
and d1 words = (d0 &. np)
  words
and n1 words = ((n0 &. cp) |. n0)
  words
and v1 words = v0
  words
and c1 words = (c0 &. ip)
  words
and i0 = terminal "will"
and d0 = terminal "the"
and n0 = terminal "idea"
and v0 = terminal "suffice"
and c0 = terminal "that"
```

Sequencing
combinator
"and"

Alternation
combinator
"or"

From recognizers to analyzers

(an outcome is a result and a remaining symbol list *)*
type ('symbol,'result) outcome = 'result * ('symbol list)

(an analyzer is a function from remaining symbols to a sequence of outcomes *)*
type ('symbol,'result) analyzer = 'symbol list → ('symbol, 'result) outcome Seq.t

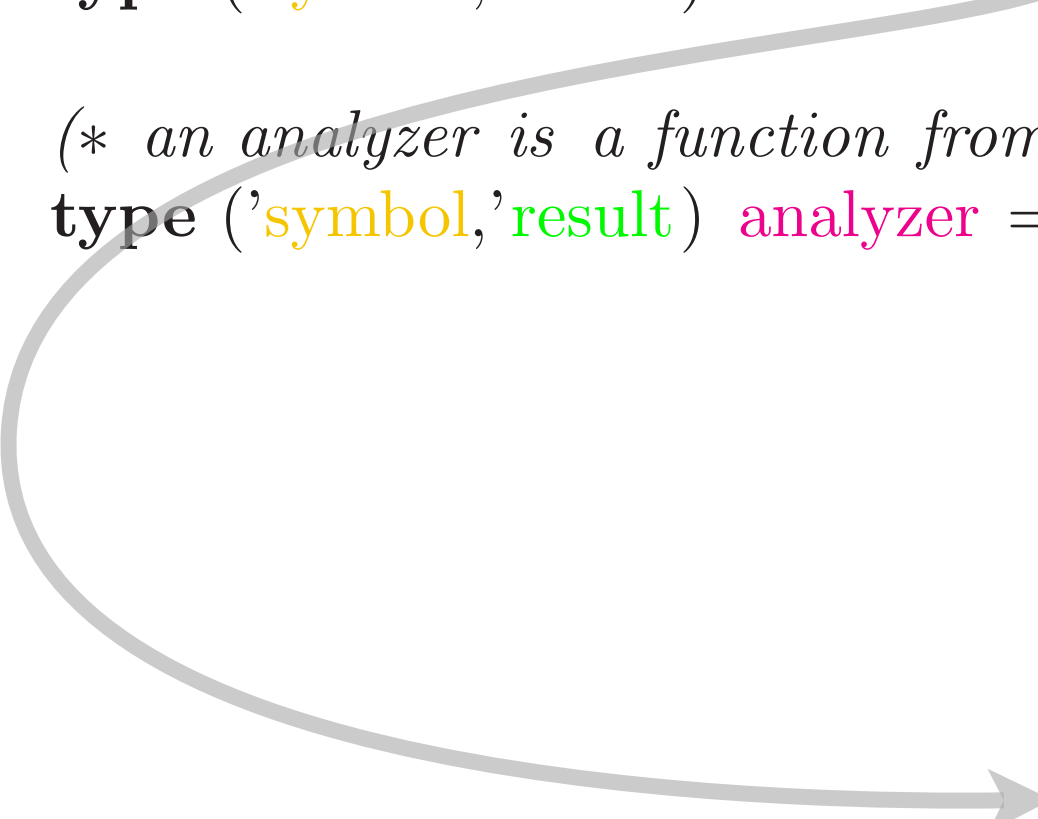
From recognizers to analyzers

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*• pair up the remainder with
some arbitrary type of result*

From recognizers to analyzers

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• pair up the remainder with some arbitrary type of result

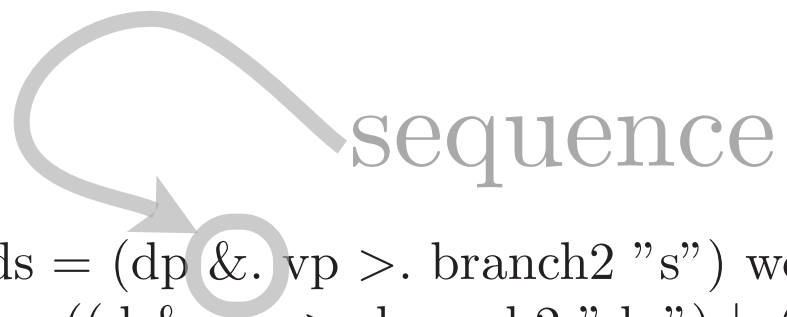
• handle nondeterminism with a collection of outcomes

A combinator parser

```
let rec s words = (dp &. vp >. branch2 "s") words
and dp words = ((d &. np >. branch2 "dp") |. (pn >. branch1 "dp")) words
and d = term ["every";"some"] >. branch1 "d"
and pn = term ["cecil";"dexter";"john"] >. branch1 "pn"
and np words = (((kstar adj) &. nbar) >. function (premodifiers,n1) →Node("np",premodifiers@[n1])) words
and nbar words = (adjoin2 pp "nbar" n) words
and n = term ["city";"body";"scale";"man";"woman"] >. branch1 "n"
and adj = term ["italian"] >. branch1 "adj"
and vp words = (((v &. dp) >. branch2 "vp") |. ((v &. dp &. pp) >. branch3 "vp")) words
and pp words = (((p &. dp) >. branch2 "pp")) words
and p = term ["in"] >. branch1 "p"
and v = term ["met";"saw";"played";"loves"] >. branch1 "v"
```

```
val s :
  (string, string tree) analyzer = <fun>
```

A combinator parser



```
let rec s words = (dp &. vp >. branch2 "s") words
and dp words = ((d &. np >. branch2 "dp") |. (pn >. branch1 "dp")) words
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and p = term ["in"] >. branch1 "p"
and v = term ["met";"saw";"played";"loves"] >. branch1 "v"
```

```
val s :
  (string, string tree) analyzer = <fun>
```

A combinator parser

sequence

```
let rec s words = (dp &. vp >. branch2 "s") words
and dp words = ((d &. np >. branch2 "dp") |. (pn >. branch1 "dp")) words
and d = term ["every";"some"] >. branch1 "d"
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and nbar words = (adjoin2 pp "nbar" n) words
and n = term ["city";"body";"scale";"man";"woman"] >. branch1 "n"
and adj = term ["italian"] >. branch1 "adj"
and vp words = (((v &. dp) >. branch2 "vp") |. ((v &. dp &. pp) >. branch3 "vp")) words
and pp words = (((p &. dp) >. branch2 "pp")) words
and p = term ["in"] >. branch1 "p"
and v = term ["met";"saw";"played";"loves"] >. branch1 "v"
```

alternative

```
val s :
  (string, string tree) analyzer = <fun>
```

A combinator parser

sequence

```
let rec s words = (dp &. vp >. branch2 "s") words
and dp words = ((d &. np >. branch2 "dp") |. (pn >. branch1 "dp")) words
and d = term ["every";"some"] >. branch1 "d"
and pn = term ["cecil";"dexter";"john"] >. branch1 "pn"
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and nbar words = (adjoin2 pp "nbar" n) words
and n = term ["city";"body";"scale";"man";"woman"] >. branch1 "n"
and adj = term ["italian"] >. branch1 "adj"
and vp words = (((v &. dp) >. branch2 "vp") |. ((v &. dp &. pp) >. branch3 "vp")) words
and pp words = (((p &. dp) >. branch2 "pp")) words
and p = term ["in"] >. branch1 "p"
and v = term ["met";"saw";"played";"loves"] >. branch1 "v"
```

gives

alternative

```
val s :  
  (string, string tree) analyzer = <fun>
```

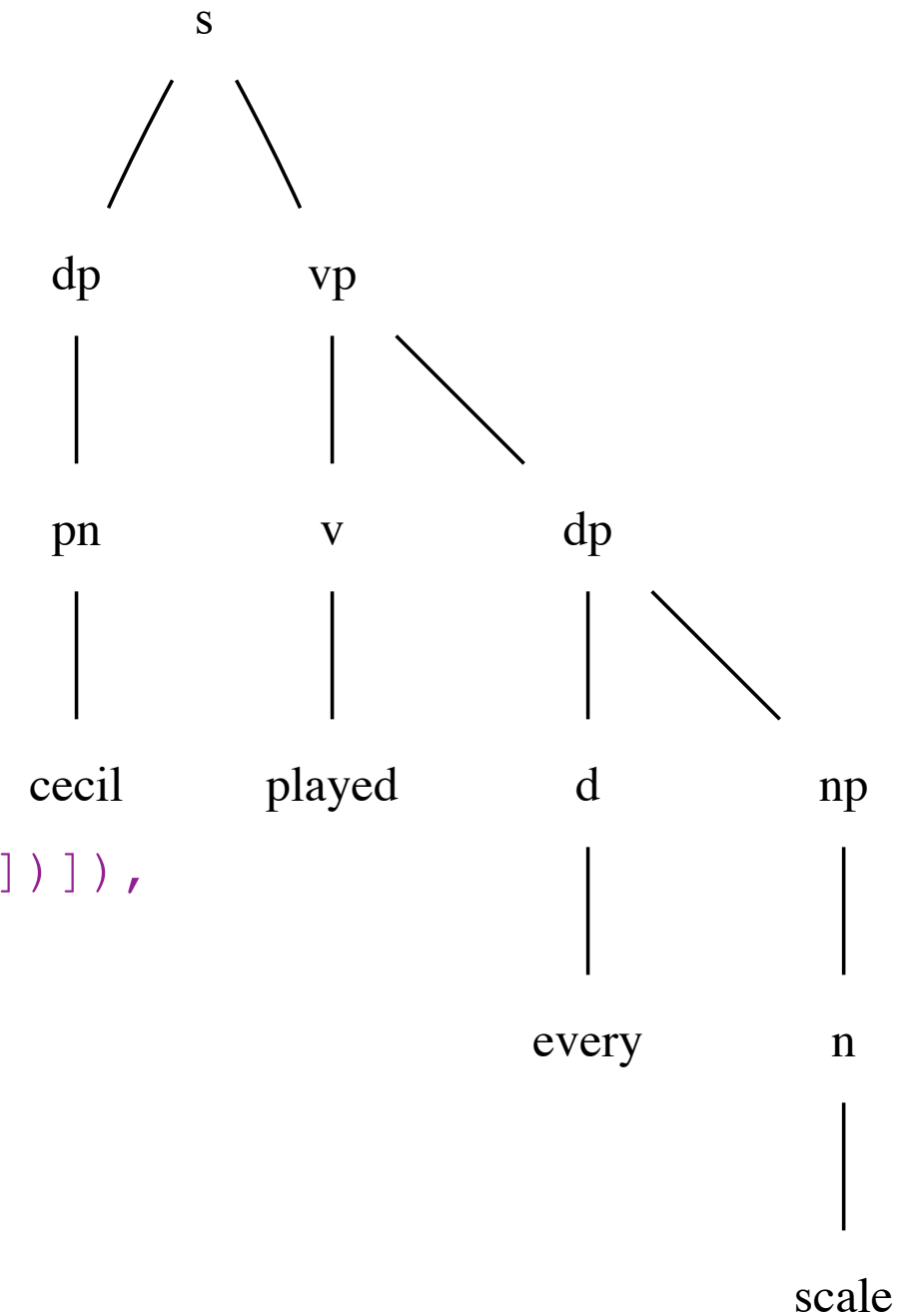
Outputs

start symbol=entry point

```
# Seq.hd (s ["cecil";"played";"every";"scale"]);;  
- : (string, string tree) outcome =  
(Node ("s",  
  [Node ("dp", [Node ("pn", [Node ("cecil", [])])])];  
  Node ("vp",  
    [Node ("v", [Node ("played", [])])];  
    Node ("dp",  
      [Node ("d", [Node ("every", [])])];  
      Node ("np", [Node ("n", [Node ("scale", [])])])])])])],  
  [])
```

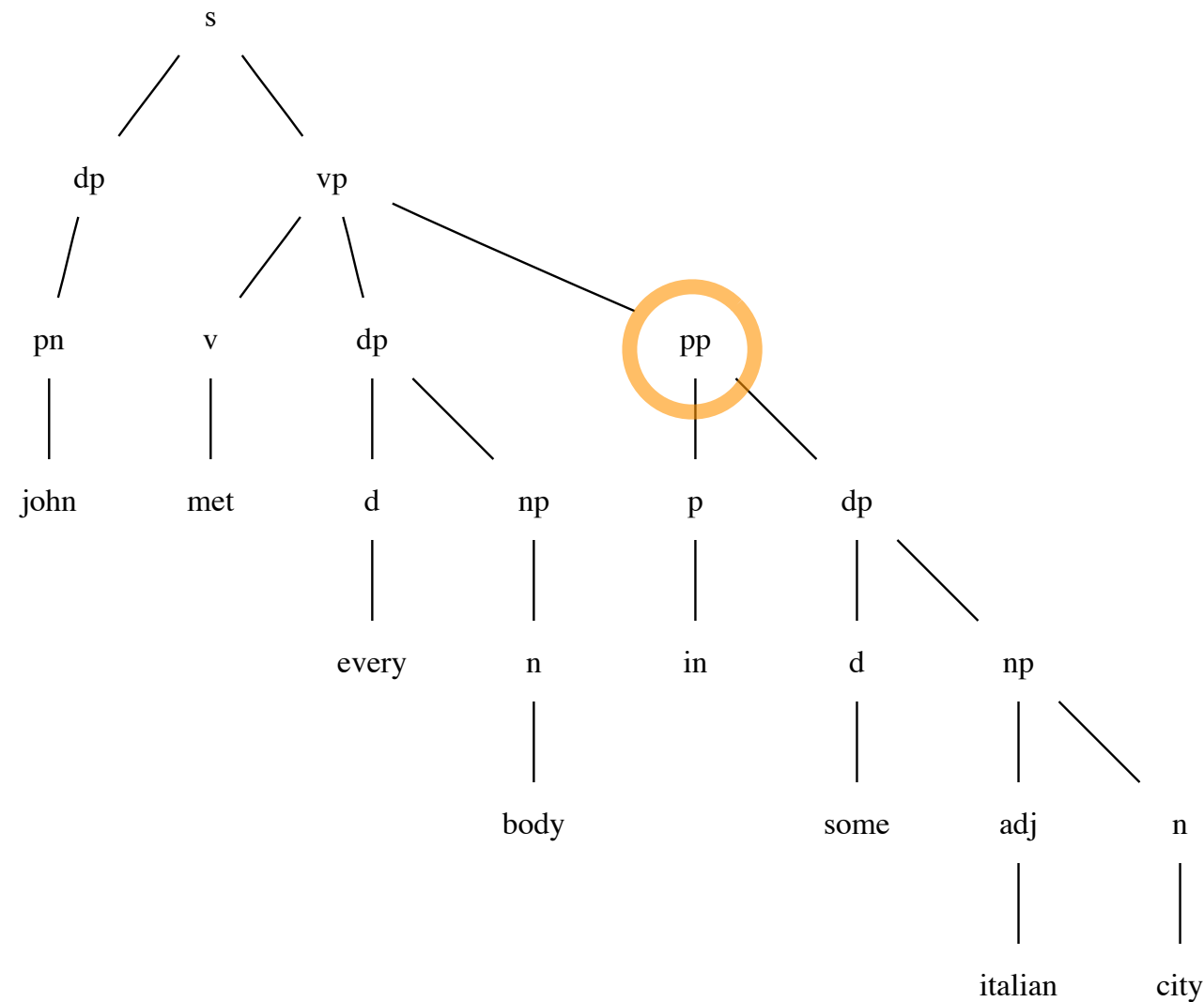
empty remainder

⇒ recognition succeeded

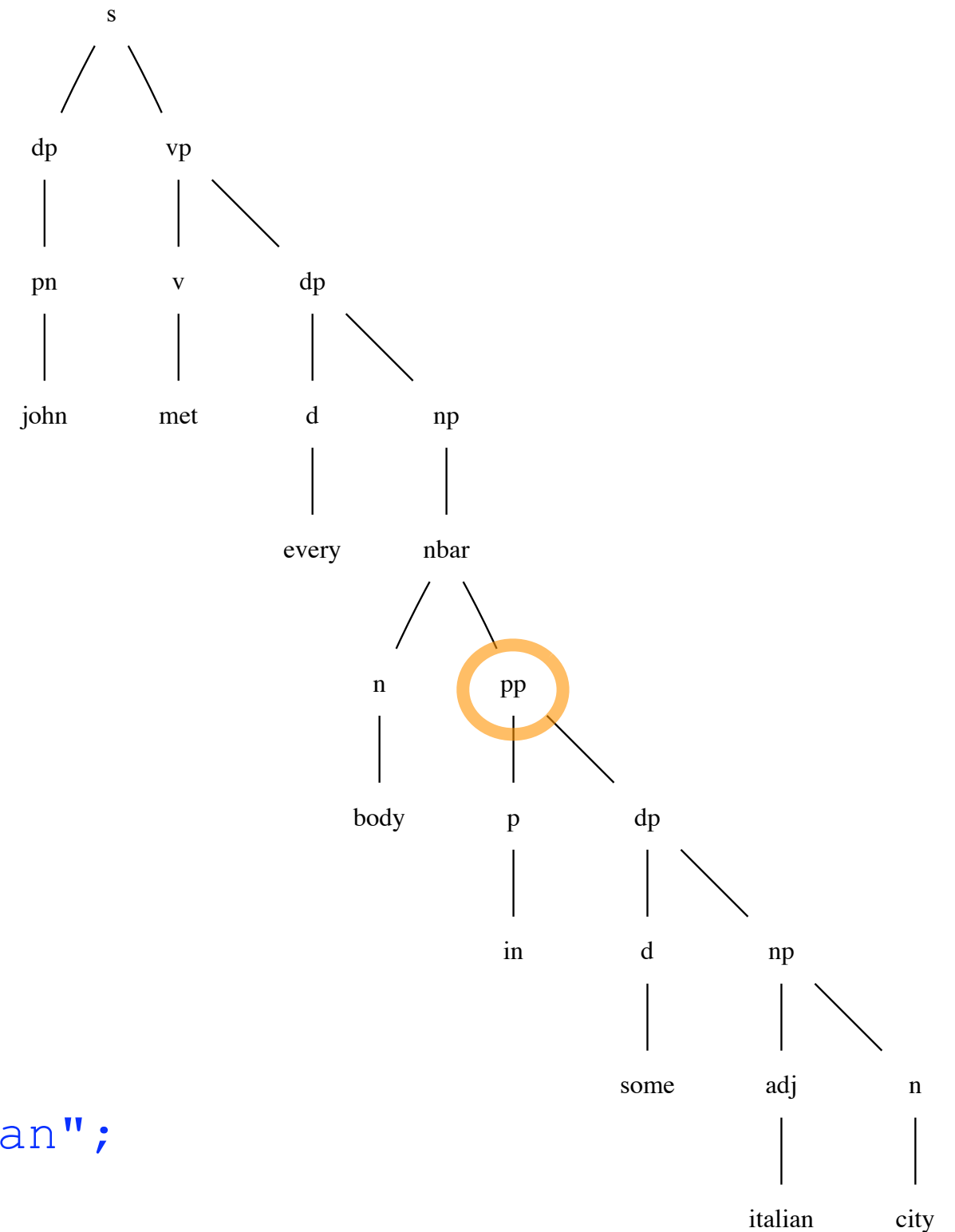


Syntactic ambiguity

High PP attachment



Low PP attachment



```
#Seq.count λx.true (s ["john"; "met";  
"every"; "body"; "in"; "some"; "italian";  
"city"]));;  
- : int = 2
```

Plan of talk

1. Combinator parsers

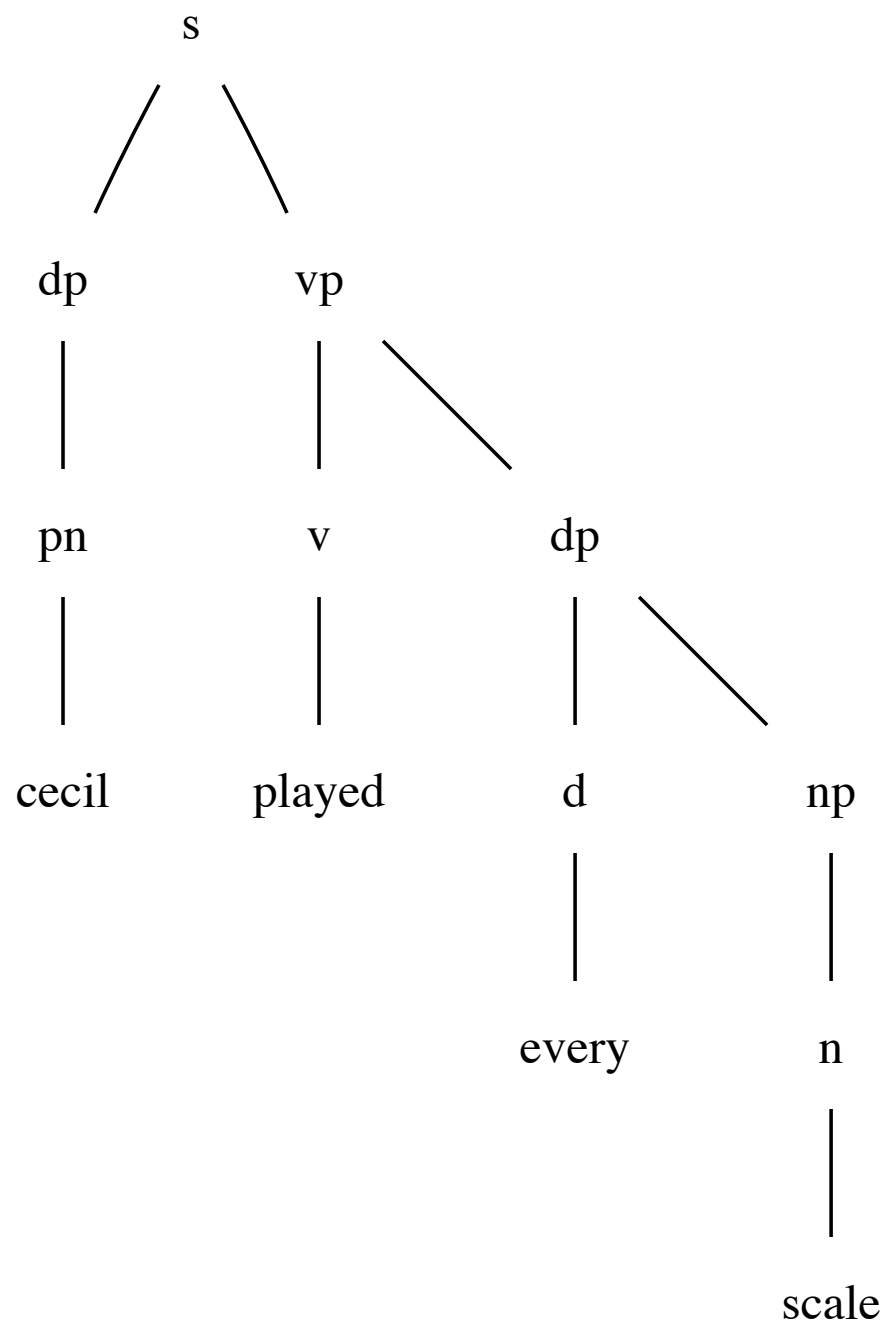
2. Quantifier Raising

3. How to get rid of LF in a parser
by using deforestation

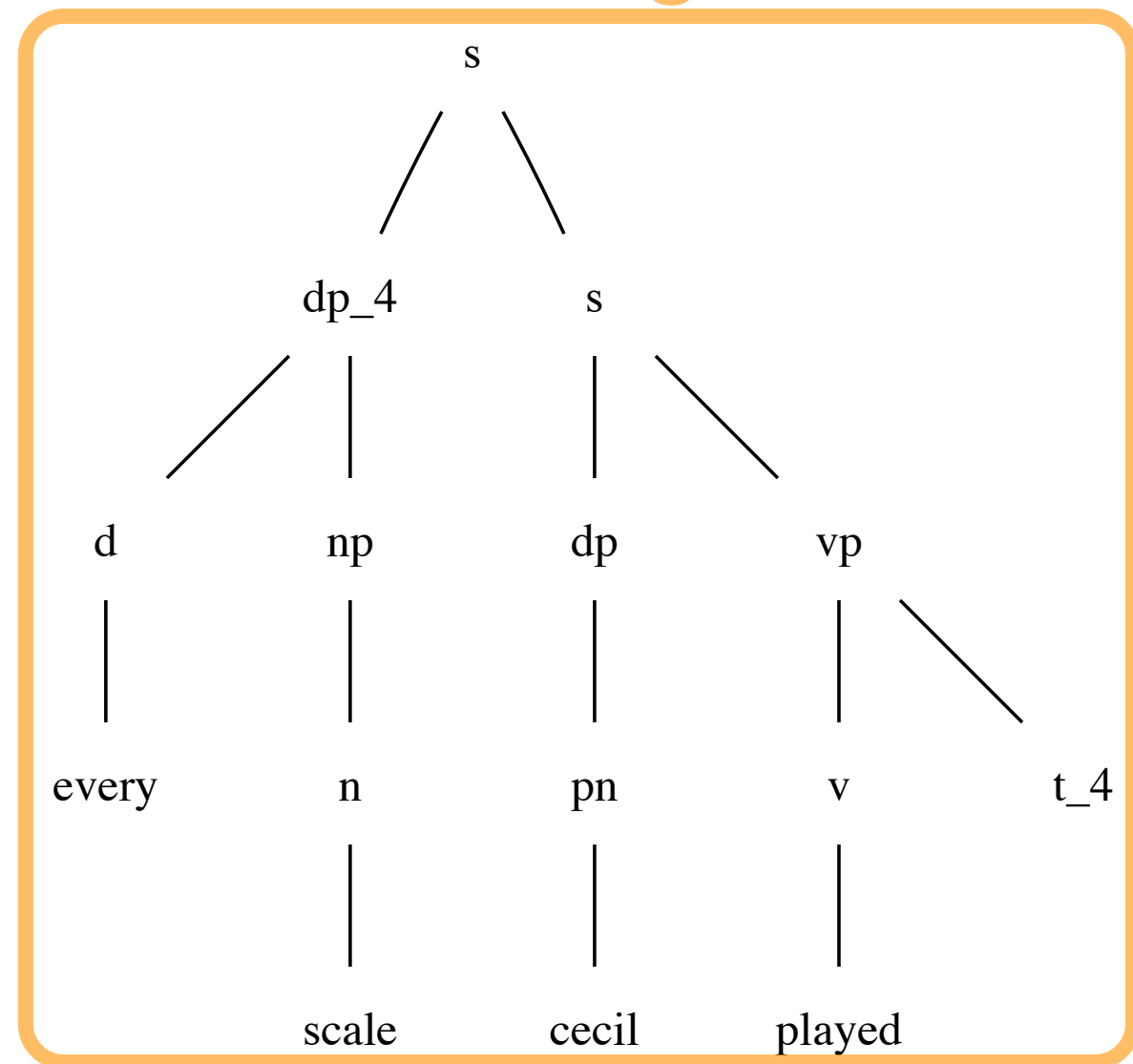
Quantifiers

all, some, most, more than twenty,...

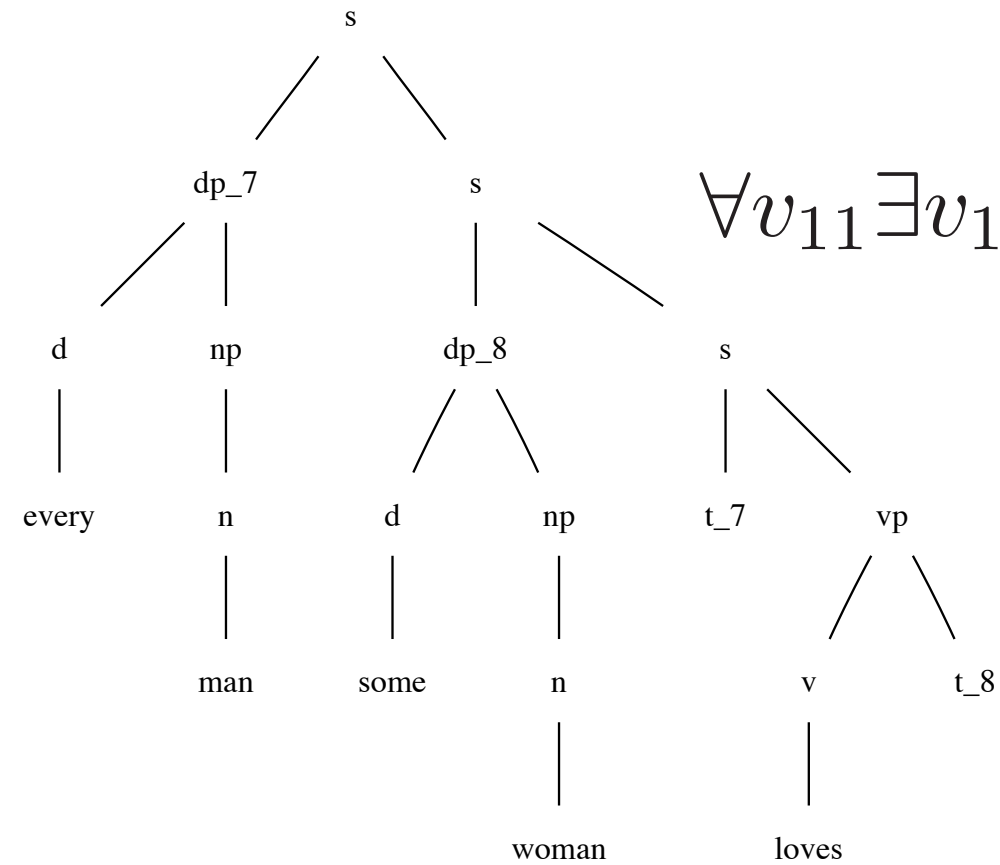
Quantifier Raising is a transformation



a Logical Form

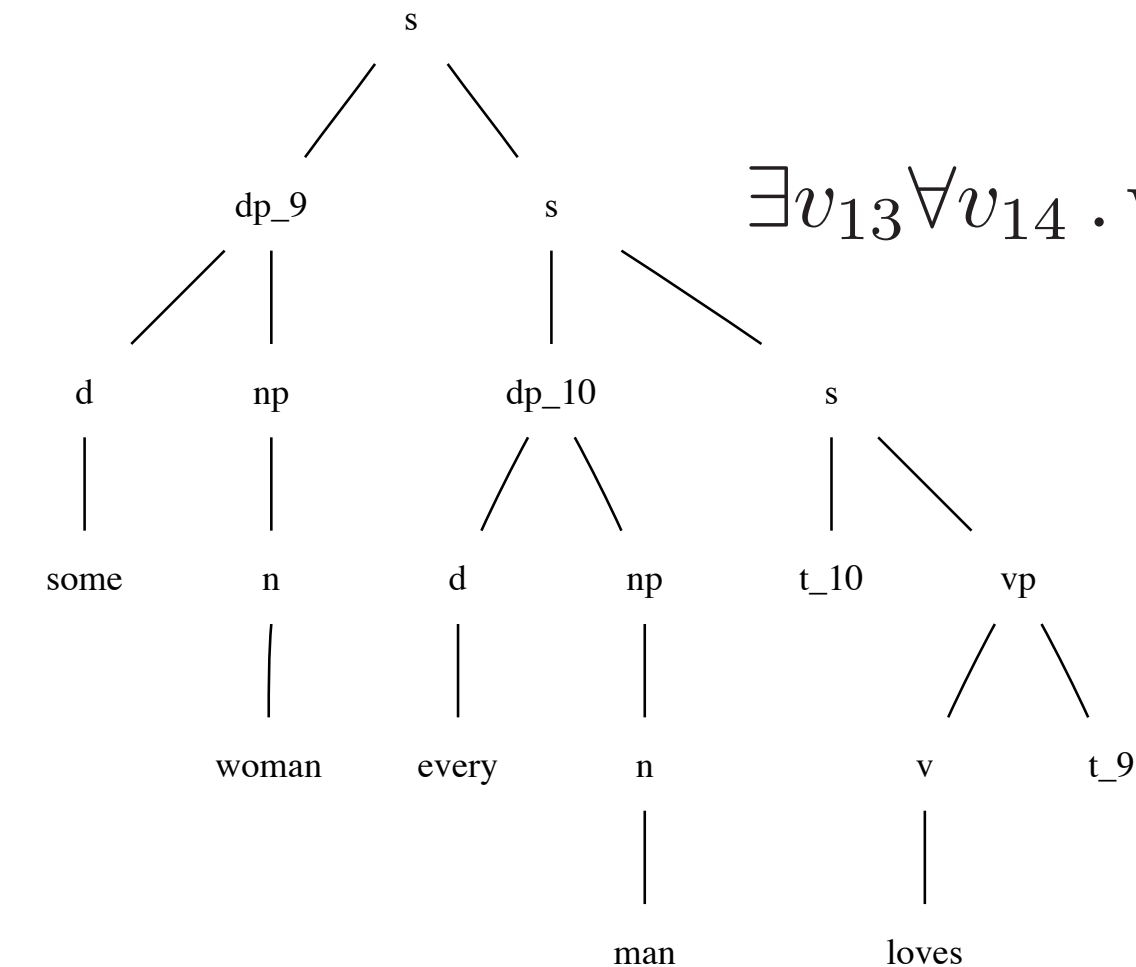


Quantifier Scope Ambiguity



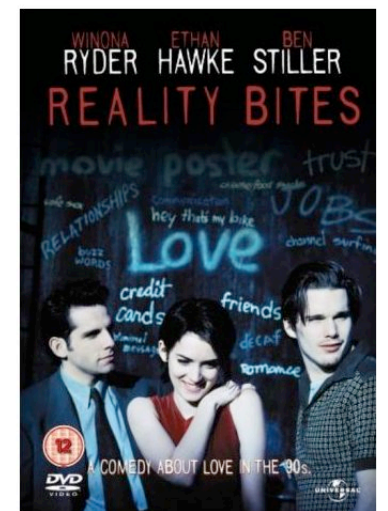
$\forall v_{11} \exists v_{12} . \text{man}(v_{11}) \rightarrow \text{woman}(v_{12}) \wedge \text{loves}(v_{12}, v_{11})$

each guy finds his own soulmate



$\exists v_{13} \forall v_{14} . \text{woman}(v_{13}) \wedge [\text{man}(v_{14}) \rightarrow \text{loves}(v_{13}, v_{14})]$

every guy loves Winona Ryder



QR is like Movement

✓[Which patients]_i will a doctor make sure that we give t_i a tranquilizer?

island constraint on
Move α accounts
for unacceptability

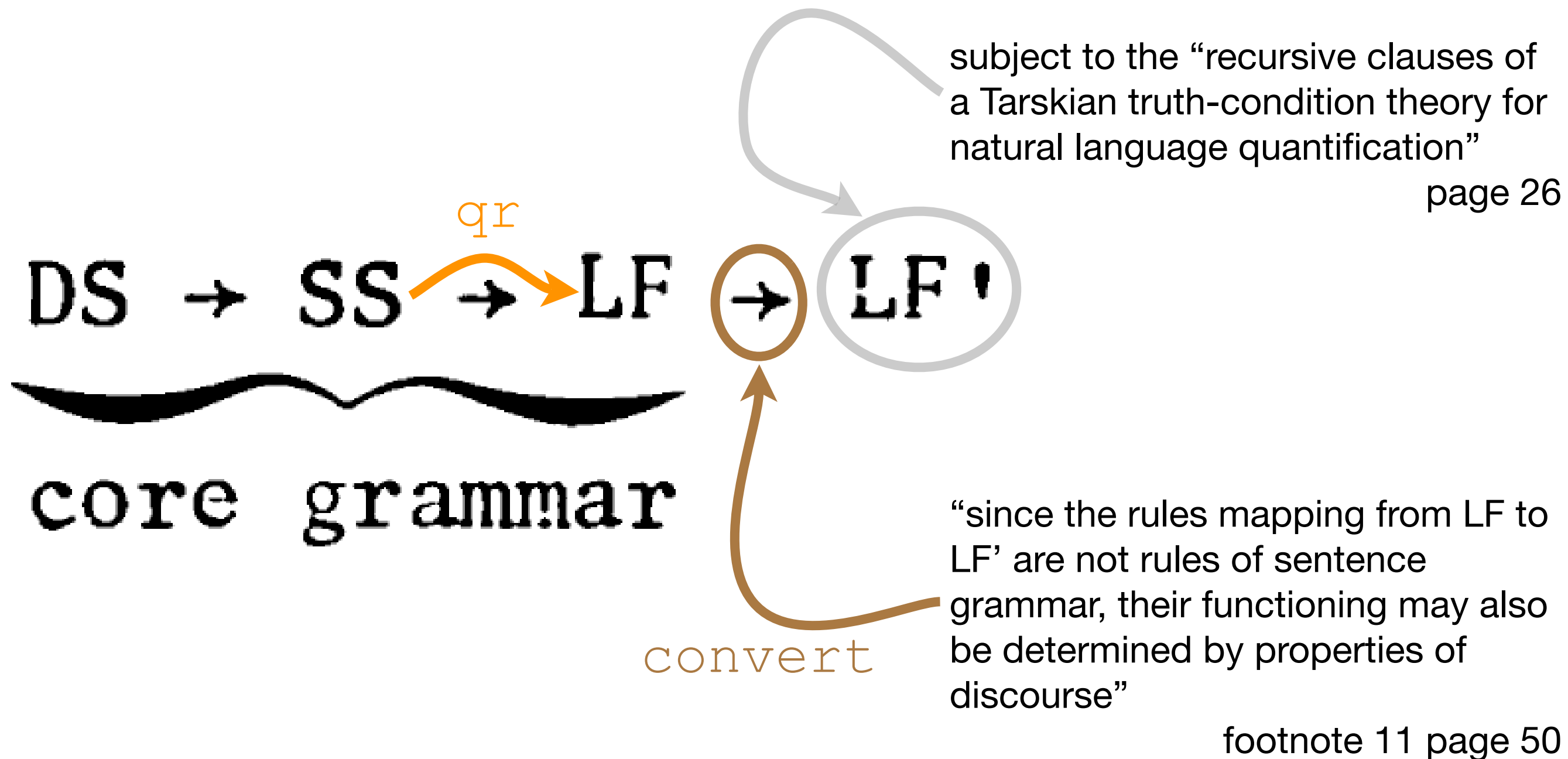
✗[Which patients]_j will a doctor examine **the possibility that** we give t_j a tranquilizer?

A doctor will examine the possibility that we give **every new patient** a tranquilizer.

✓ $\exists \text{ doctor } d \forall \text{ new-patient } p$
 d will examine the possibility that we give p a tranquilizer
✗ $\forall \text{ new-patient } p \exists \text{ doctor } d$
 d will examine the possibility that we give p a tranquilizer

island constraint on QR
accounts for missing
interpretation

LF as an interface level



Natural parsing algorithm

1. parse input to obtain surface structures
2. apply `qr` to every quantified phrase
3. `convert` resultant LFs into LF' formulas

Natural parsing algorithm

1. parse input to obtain surface structures
2. apply **qr** to every quantified phrase
3. **convert** resultant LFs into LF' formulas

$\text{convert} \circ \text{qr} \approx$

```
foreach (ss, QDPplace) {  
    tempLF  $\leftarrow$  qr (ss, QDPplace)  
    return convert (tempLF)  
}
```


Natural parsing algorithm

1. parse input to obtain surface structures
2. apply **qr** to every quantified phrase
3. **convert** resultant LFs into LF' formulas

$\text{convert} \circ \text{qr} \approx$

```
foreach (ss, QDPplace) {  
    tempLF  $\leftarrow$  qr (ss, QDPplace)  
    return convert (tempLF)  
}
```

intermediate data

Plan of talk

1. Combinator parsers

2. Quantifier Raising

3. How to get rid of LF in a parser
by using deforestation

Deforestation

source-to-source program transformation

technique for getting rid of **intermediate data**

sum (map square (upto 1 n))

*upto creates the list **[1,2,...,n]***

*map square creates the list **[1,4,...,n²]***

sum finally yields $\frac{1}{6} (2n^3 + 3n^2 + n)$

Motivation: efficiency

sum (map square (upto 1 n))

deforestation

h 0 1 n

where

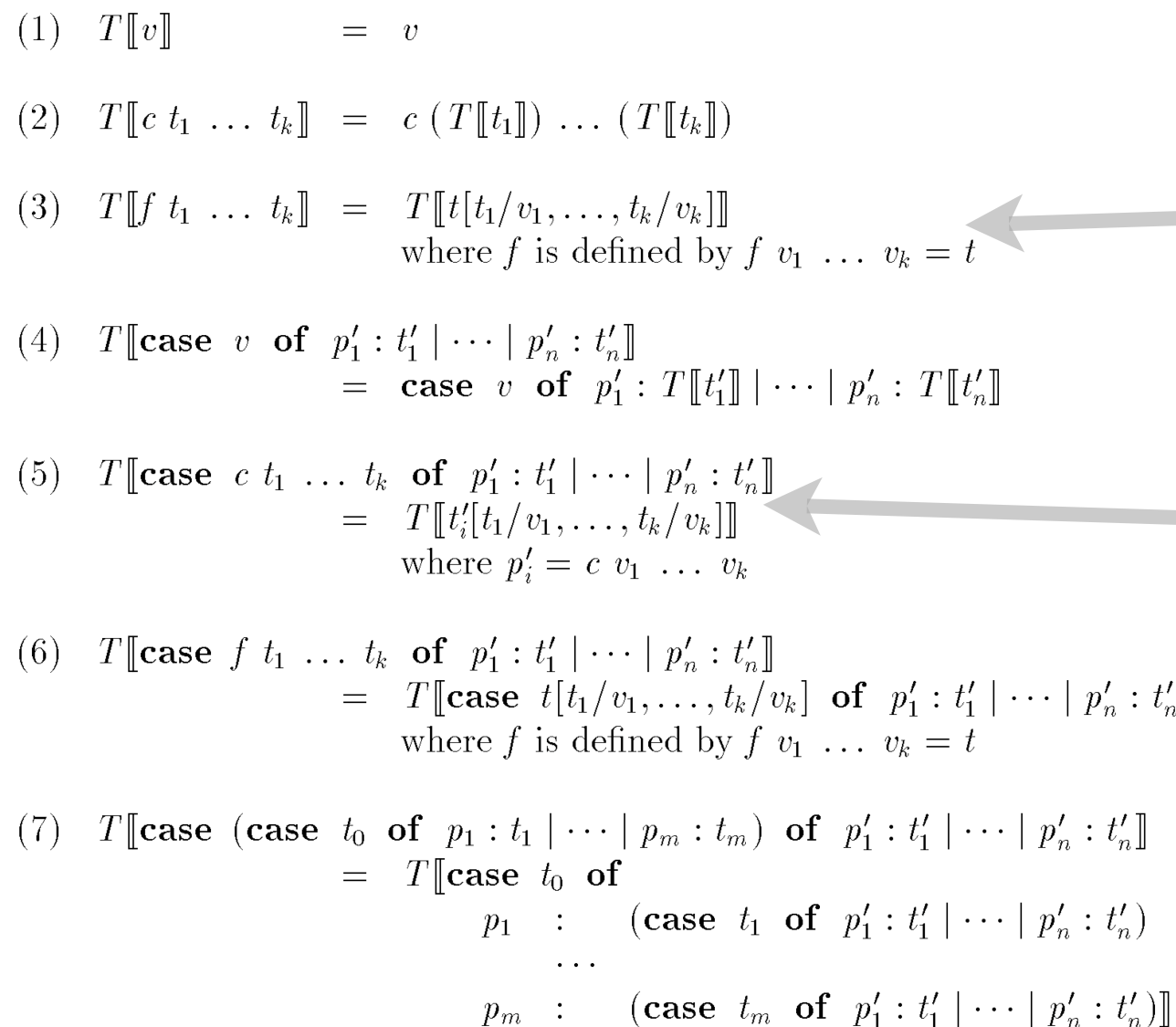
h a m n = **if** *m > n*
then *a*

else *h (a + square m) (m + 1) n*

*no list
allocations!*

Wadler 90:

It is clear that each of the rules preserves equivalence

- 
- The diagram shows seven transformation rules for the Deforestation Algorithm, numbered (1) through (7). Arrows indicate the flow of transformations: a straight arrow from rule (3) to the text 'replace functions with their definitions', a straight arrow from rule (5) to the text 'push matching on constructors inside branches', and a curved arrow from rule (6) to rule (5).
- (1) $T[v] = v$
 - (2) $T[c\ t_1 \ \dots \ t_k] = c\ (T[t_1]) \ \dots \ (T[t_k])$
 - (3) $T[f\ t_1 \ \dots \ t_k] = T[t[t_1/v_1, \dots, t_k/v_k]]$
where f is defined by $f\ v_1 \ \dots \ v_k = t$
 - (4) $T[\text{case } v \text{ of } p'_1 : t'_1 \mid \dots \mid p'_n : t'_n] = \text{case } v \text{ of } p'_1 : T[t'_1] \mid \dots \mid p'_n : T[t'_n]$
 - (5) $T[\text{case } c\ t_1 \ \dots \ t_k \text{ of } p'_1 : t'_1 \mid \dots \mid p'_n : t'_n] = T[t'_i[t_1/v_1, \dots, t_k/v_k]]$
where $p'_i = c\ v_1 \ \dots \ v_k$
 - (6) $T[\text{case } f\ t_1 \ \dots \ t_k \text{ of } p'_1 : t'_1 \mid \dots \mid p'_n : t'_n] = T[\text{case } t[t_1/v_1, \dots, t_k/v_k] \text{ of } p'_1 : t'_1 \mid \dots \mid p'_n : t'_n]$
where f is defined by $f\ v_1 \ \dots \ v_k = t$
 - (7) $T[\text{case } (\text{case } t_0 \text{ of } p_1 : t_1 \mid \dots \mid p_m : t_m) \text{ of } p'_1 : t'_1 \mid \dots \mid p'_n : t'_n] = T[\text{case } t_0 \text{ of } \begin{array}{l} p_1 : (\text{case } t_1 \text{ of } p'_1 : t'_1 \mid \dots \mid p'_n : t'_n) \\ \dots \\ p_m : (\text{case } t_m \text{ of } p'_1 : t'_1 \mid \dots \mid p'_n : t'_n) \end{array}]$

replace functions with their definitions

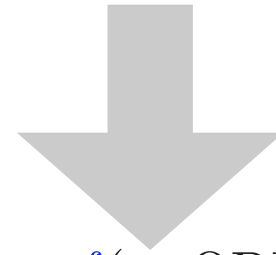
push matching on constructors inside branches

Figure 4: Transformation rules for the Deforestation Algorithm

cf. Burstall & Darlington 77

LF gone

let $f = \lambda (ss, places) \rightarrow \text{convert } (qr (ss, places))$



sequence of Wadler-steps

```
function  $f(ss, QDPplaces)$ 
  if no more QDPplaces then
    predicateify( $ss$ )
  else
    examine the next QDPplace  $p$ 
    if  $p =$   $\begin{array}{c} DP \\ \swarrow \searrow \\ D \quad NP \\ | \\ \text{every} \end{array}$  then
      let restrictor = predicateify( $NP$ )
      let  $v$  be a fresh variable name
      let body =  $ss$  with  $p$  replaced by an indexed trace
       $\forall v \text{ restrictor}(v) \rightarrow f(\text{body}, \text{remaining QDPplaces})$ 
    end if
    if  $p =$   $\begin{array}{c} DP \\ \swarrow \searrow \\ D \quad NP \\ | \\ \text{some} \end{array}$  then
      let restrictor = predicateify( $NP$ )
      let  $v$  be a fresh variable name
      let body =  $ss$  with  $p$  replaced by an indexed trace
       $\exists v \text{ restrictor}(v) \wedge f(\text{body}, \text{remaining QDPplaces})$ 
    end if
  end if
end function
```

*no adjoined phrase
markers created*

Plan of talk

1. Combinator parsers

2. Quantifier Raising

3. How to get rid of LF in a parser
by using deforestation

Conclusions

Yes

Competence Hypothesis

No

LF Causal Relevance Hypothesis

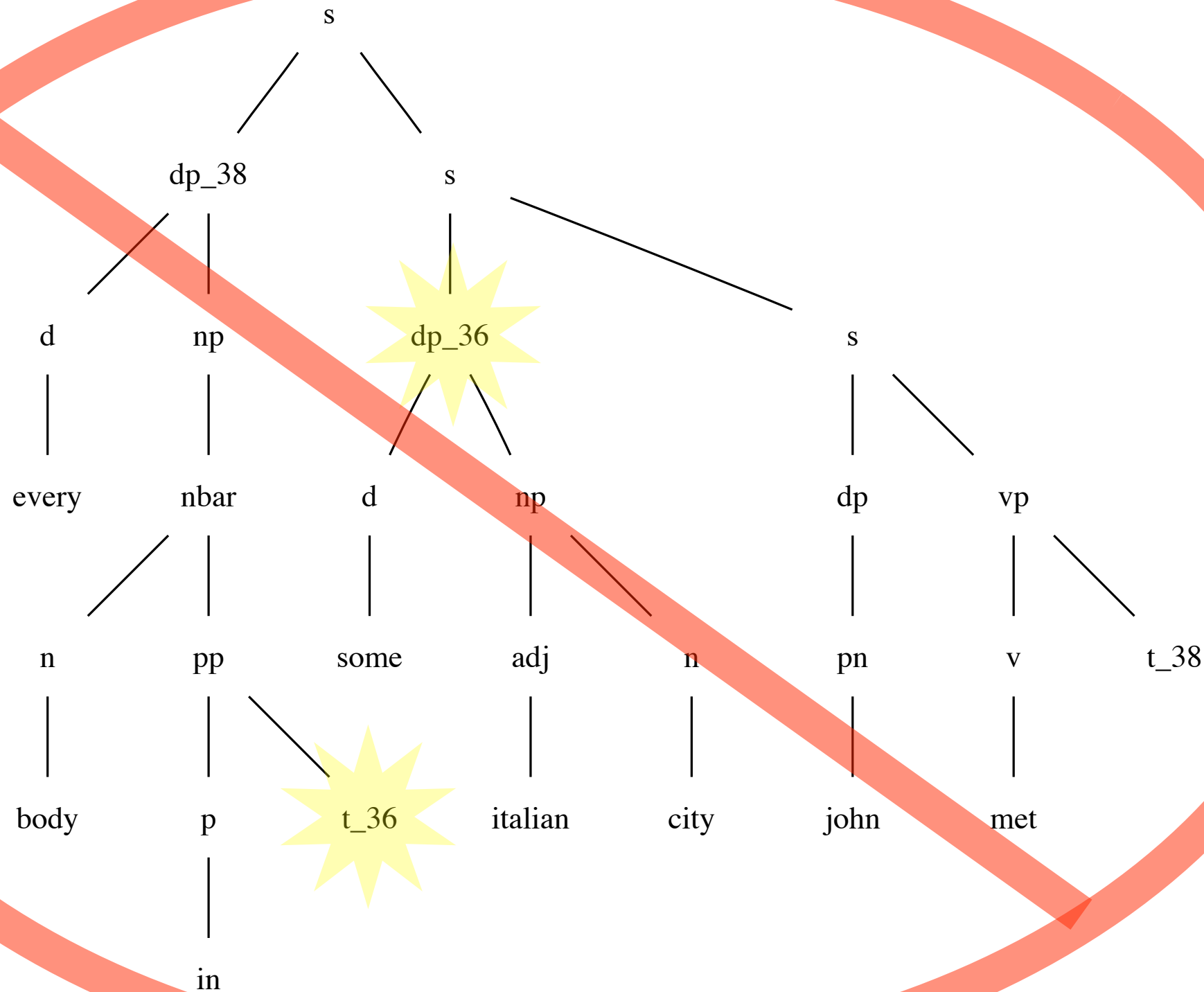
- grammar with LF \vdash directly compositional parser
- psycholinguistic evidence for LF might becoming from SS operations

Bonus slides

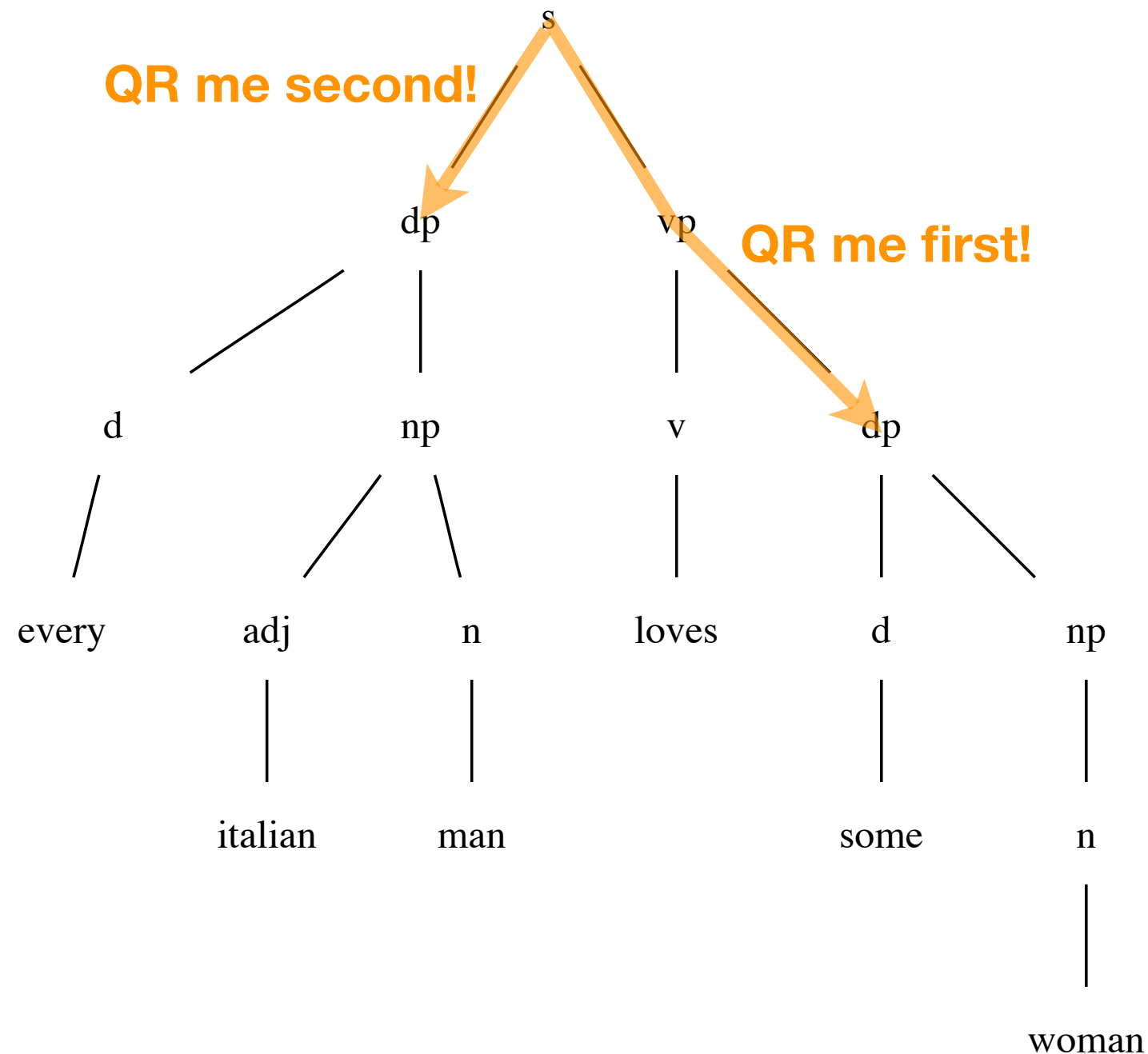
Constraints on LF

Proper Binding

Moved constituents must c-command their traces



Avoid generate/test



Enforce ProperBinding early, on
(tree, list of Gorn addresses of quantified phrases)
pairs

Parser combinators

(either p1 can parse the input, or p2 can *)*

```
let (alternative : ('a,'b) analyzer → ('a,'b) analyzer) = fun p1 p2 inp  
  → Seq.append ((p1 inp),(p2 inp))
```

(use p2 to parse all the remainders p1 leaves behind. pair-up the results *)*

```
let (sequence : ('a,'b) analyzer → ('a,'c) analyzer → ('a,('b * 'c)) analyzer) = fun p1 p2 inp →  
  Seq.multiply (function (result1,remainder1) →  
    Seq.map  
      (function (result2,remainder2) → ((result1, result2), remainder2))  
      (p2 remainder1)  
    ) (* outermost function takes individual outcomes to Seq.t of outcomes *)  
  (p1 inp)
```

(map the function f : 'b → 'c over the result of parsing inp with p *)*

```
let (gives : ('a,'b) analyzer → ('b → 'c) → ('a,'c) analyzer) = fun p f inp →  
  Seq.map (function (result,remainder) → (f result,remainder)) (p inp)
```

How to run it

```
#let result = ip  
["the";"idea";"will";"suffice"];;  
val result : SuffixSet.t = <abstr>  
  
#SuffixSet.elements result;;  
- : SuffixSet.elm list = [[]]
```

SuffixSet includes the empty remainder

\Rightarrow recognition succeeded

\Rightarrow "the idea will suffice" $\in L(G)$

How it says No

```
#let result2 = ip ["the"; "half-baked intuition";  
"will"; "suffice"];;  
val result2 : SuffixSet.t = <abstr>  
  
#SuffixSet.empty = result2;;  
- : bool = true
```

SuffixSet is empty

\Rightarrow *recognition failed (out-of-vocabulary)*

\Rightarrow *"the half-baked intuition will suffice" $\notin L(G)$*