Meanings of Prosody

Music – Discourse - Lexicon

2019-07-19, 14:30-16:30 Beijing, 08:30-10:30 Berlin

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Chinese Summer School: Contemporary Phonetics and Phonology

Plan

- 1. Lecture 1: Meanings of Prosody
 - 1. The Philosophy of Prosody: Metalocutionary Theory
 - 2. Case studies and traditional approaches
- 2. Lecture 2: Forms of Prosody
 - 1. Prosodic grammar: phonemes, morphemes and structures
 - 2. Deriving, constraining and computing prosody
- 3. Lecture 3: Sounds of Prosody
 - 1. Rhythm Formant Theory
 - 2. Practical Prosody Case Studies:
 - 1. Case studies
 - 2. Implementation: https://github.com/dafyddg/RFA

Methods

Lecture 1: Qualitative, hermeneutic analysis, with reference to the semiotics of discoursal and musical patterns, on the basis of the Metalocutionary Theory of prosodic meaning.

Lecture 2: Qualitative, formal analysis, with discussion of the complexity of prosodic patterns, for example recursion, on the basis of different computational and other models.

Lecture 3: From qualitative to quantitative analysis of the sounds of rhythm and melody based on Rhythm Formant Theory, and using automatic analysis of speech signals from different discourse types and automatic classification of spoken discourse types.

In general, the procedure is exploratory and cross-disciplinary and oriented towards outlines and overviews, rather than narrowly confirmatory within a specific paradigm.

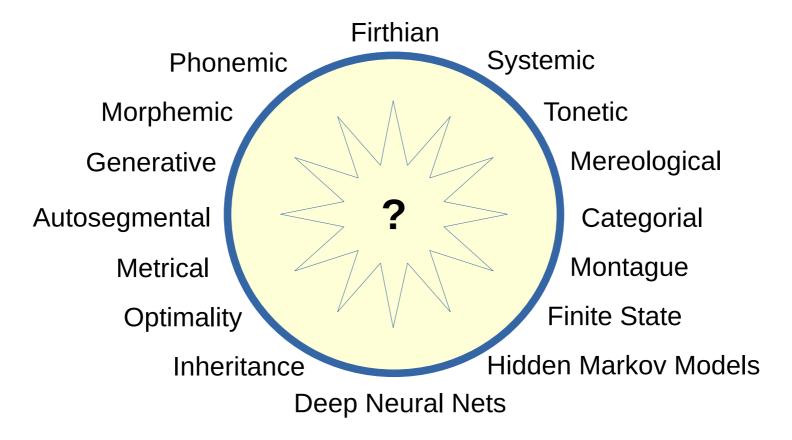
An exception is the last lecture!

Orientation

Paradigms

A paradigm is a set of theories, models, methods, concepts and assumptions shared by a group of cooperating scientists. Cf. Kuhn 1962

A selection of phonological and prosodic paradigms



How many kinds of prosodic model are there?

There are many paradigms in prosody description: the European 'tonetic' school in applied linguistics, the US 'phonemic tone levels' school of Pike or Trager & Smith, and more recent generative, autosegmental, metrical and optimality theoretic approaches.

For example,

- Prosodic Phonologies (Firth, etc., origins in Africanist linguistics)
- Functionalist Prosodies (Halliday etc., origins in traditional grammar)
- Generative Phonologies (Halle etc., origins in formal language theory and historical linguistics)
- SAutosegmental Phonologies (Goldsmith etc., origins in Africanist linguistics)
- Service Antical Phonologies (Liberman etc., origins in poetry)
- Inheritance Network Phonologies (Gazdar etc., origins in default logic)
- Coptimality Phonologies (Smolensky etc., origins in biology)
- Finite State Phonologies (Kay etc., origins in formal language theory and theoretical computer science)
- Speech synthesis and recognition (Jelinek etc., origins in audio engineering)

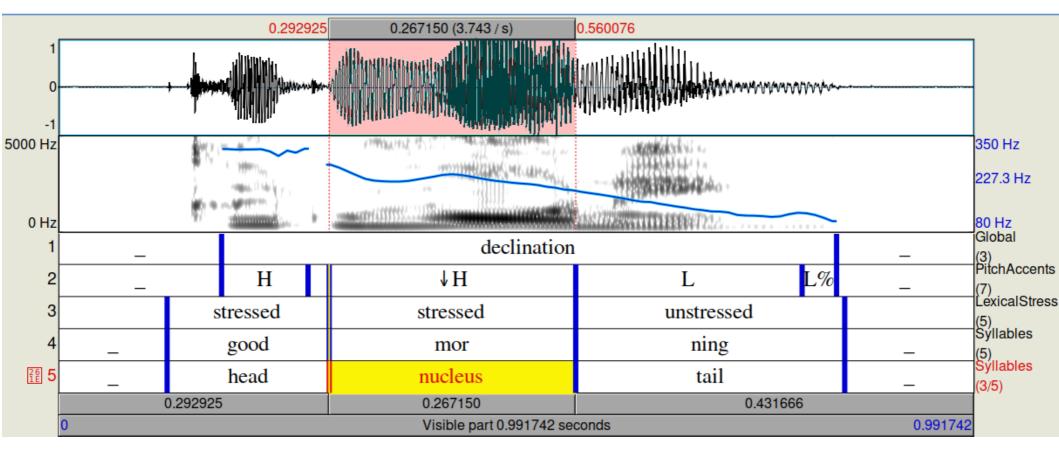
And other traditions, for example,

the Chinese tradition of describing, for example, syllables, tones, poetic patterning
 the Indian tradition of describing, for example, sandhi

It is worth looking beyond 'mainstream' paradigms and models at other sources of inspiration. This is what I will be doing.

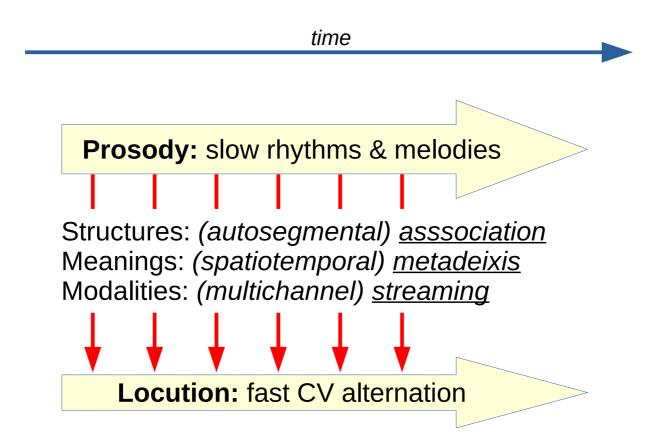
Philosophy of Prosody: Metalocutionary Theory

Metadeixis: prosodic events point to events in locutions



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Metalocutionary Theory of Prosodic Function

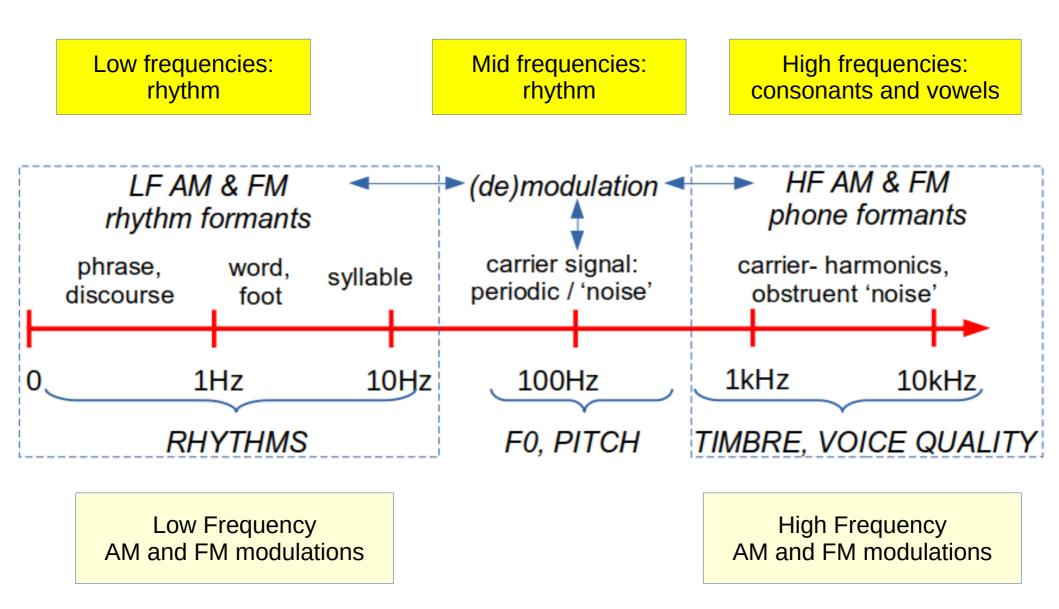


Time Types:

cloud time (intuitive everyday 'real' time) *clock time* (Newtonian time, universal quantitative time) *rubber time* (Aristotelian time: Event Phonology, tree structures) *categorial time* (abstract time points: duration contrast; context)

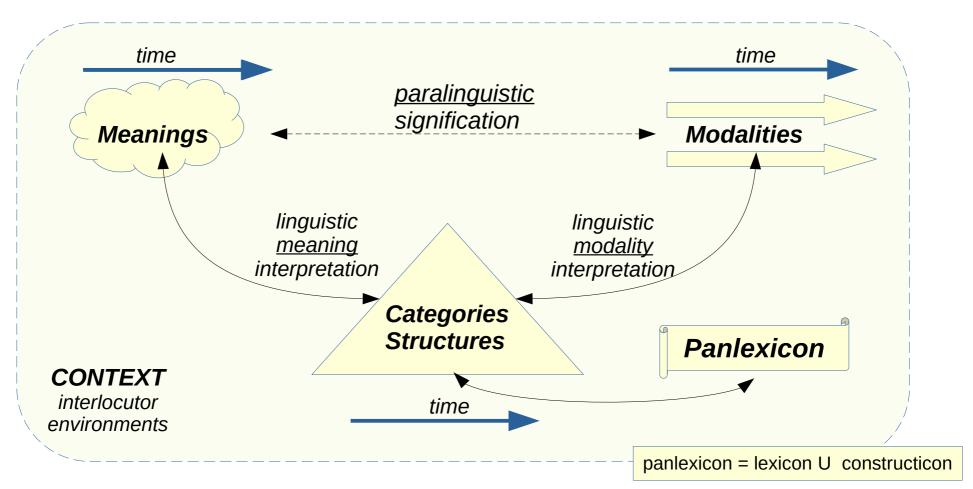
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The Modulation Code: Time and the Frequency Scale



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Embedding Prosody in a Theory of Signs



Summary:

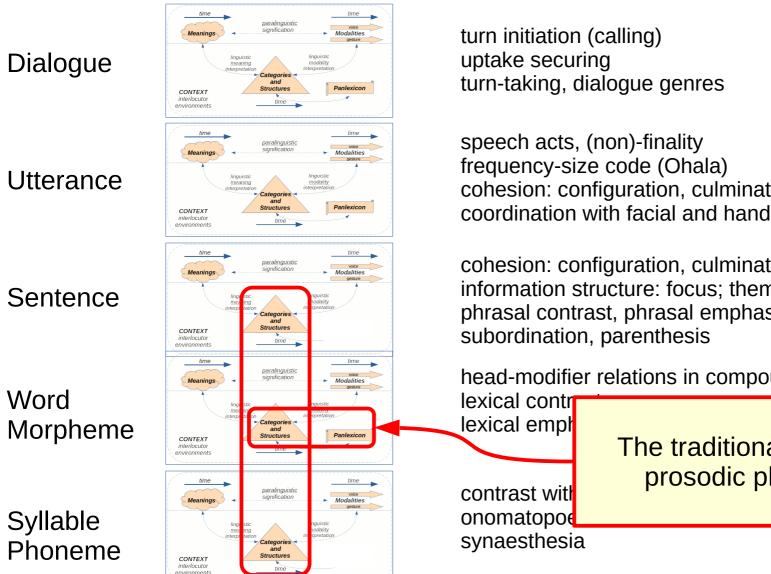
sign = semiosis(time, structure, meaning, modality, context)
structure = order(time, phon, morph, syn, text, disc)
meaning = interpretation(time, structure, panlexicon, context)
modality = interpretation(time, voice, gesture)

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Rank-Interpretation Model of the Architecture of Speech

Ranks

Prosodic and **Locutionary Signs**



Prosodic Meanings as denotations

cohesion: configuration, culmination, delimitation coordination with facial and hand gestures

cohesion: configuration, culmination, delimitation information structure: focus; theme-rheme; given-new phrasal contrast, phrasal emphasis

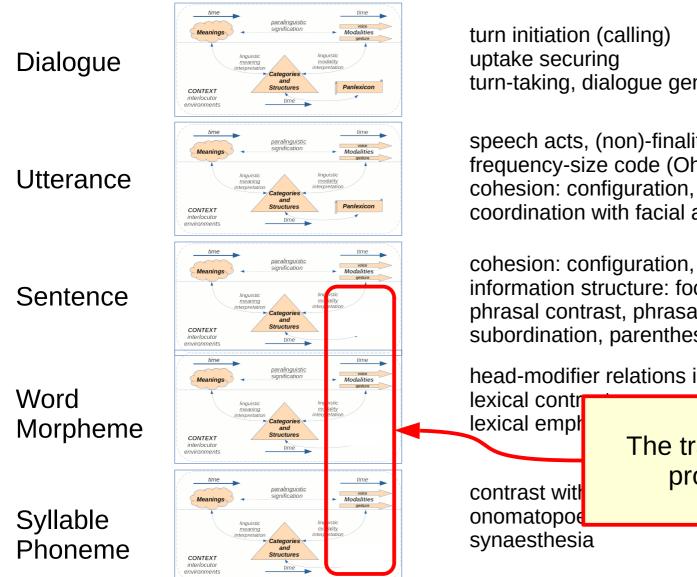
head-modifier relations in compound words

The traditional domains of prosodic phonologies

Rank-Interpretation Model of the Architecture of Speech

Ranks

Prosodic and **Locutionary Signs**



Prosodic Meanings as denotations

turn-taking, dialogue genres

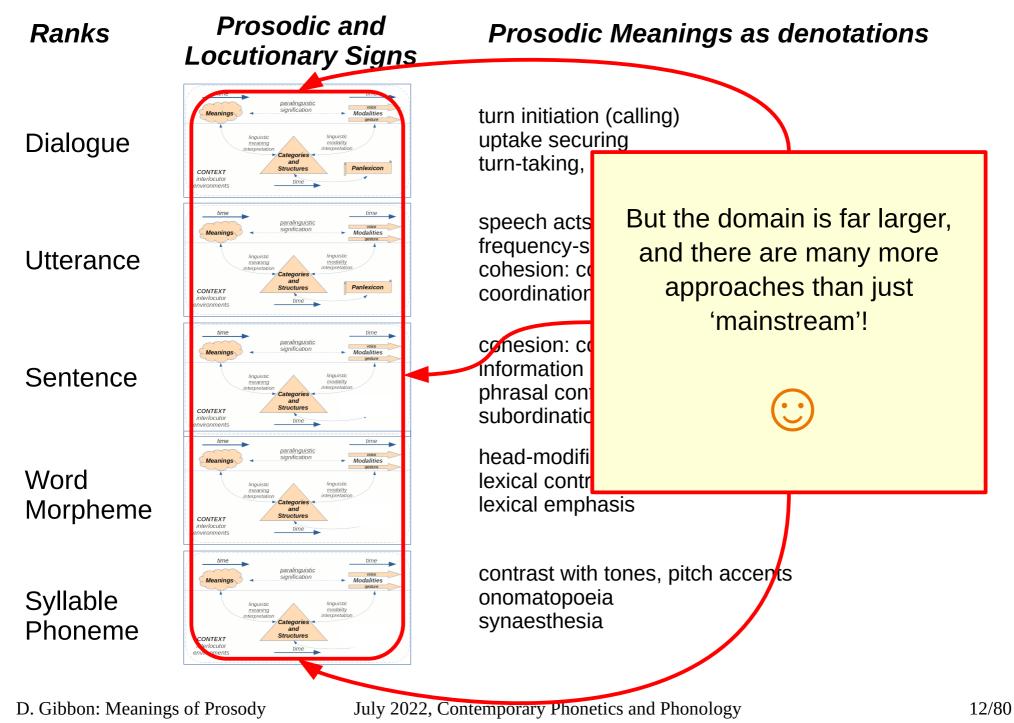
speech acts, (non)-finality frequency-size code (Ohala) cohesion: configuration, culmination, delimitation coordination with facial and hand gestures

cohesion: configuration, culmination, delimitation information structure: focus; theme-rheme; given-new phrasal contrast, phrasal emphasis subordination, parenthesis

head-modifier relations in compound words

The traditional domains of prosodic phonetics

Rank-Interpretation Model of the Architecture of Speech



Rhythm and Melody in Speech and Music and

their Meanings:

Conventions, Questions and Controversies

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Conventionality of music and speech

- Speech and music are both
 - complex modes of human behaviour
 - complex modes of human cognition
- Speech:
 - conventions shaped by local, especially family communation
 - formalised by public and written communication
- Music:
 - conventions shaped by social community activity:
 - formalised in larger communities: celebration, religion, courtship

Examining speech-music differences

- Speech:
 - focus on conversational spoken language, narration, reading
 not written text
 - focus on complex behavioural performance
 - more than speculation on language cognition or 'competence'
- Music:
 - focus on solo song
 - more than instrumental music
 - and not 'written' or orchestral music
 - focus on complex behavioural performance
 - more than speculation on musical cognition or 'competence'
- Method:
 - discipline:
 - phonetic plus linguistic
 - syncretistic:
 - intuition plus measurement
 - qualitative plus quantitative phonetic analysis

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Examining speech-music differences

- Meaning in language and music
 - semantic:
 - for example sound symbolism
 - such as imitating voices, animals, natural events
 - pragmatic:
 - for example emotions
 - It is claimed that music can express emotions such as

joy, tenderness, longing, coquetry, surprise, fear, complaint,

scorn, anger, sarcasm

Fonagy, I. and K. Magdics (1963). Emotional patterns in intonation and music. *Zeitschrift für Phonetik, Sprachwissenschaft und Kommunikationsforschung 16, 293-313.*

• for example musical genres as stylised emotions

(Western genres: classical, romantic, blues, ...)

- It is claimed that <u>speech</u> can express emotions such as
 - anger, disgust, fear, happiness, sadness and surprise (Ekman's initial set)
 - amusement, awe, contentment, desire, embarrassment, pain, relief, and sympathy (Cordaro and Eltner)
 - boredom, confusion, interest, pride, and shame (facial expressions)
 - contempt, relief, and triumph (vocal expressions)

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Examining speech-music meaning similarities

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The two sets seem to be rather subjective and do not seem to have much in common

Controversies – musical relativity and universality

- Musical relativity:
 - Is music shaped by speech? To some extent:
 - chant: adapted to restricted rhythms and melodies of speech
 - song: often adapted to a broader range of rhythms and melodies of speech
 - instrument: phonaesthetic imitation of speech rhythms and tonal melodies
 - surrogates: drumming, whistling with speech rhythms and tonal melodies
 - Is speech shaped by music? To some extent:
 - music-like contours in greetings and calls "Good morning!", "Jooohnyyy!"
 - song: speech rhythms and melodies adapted to musical conventions

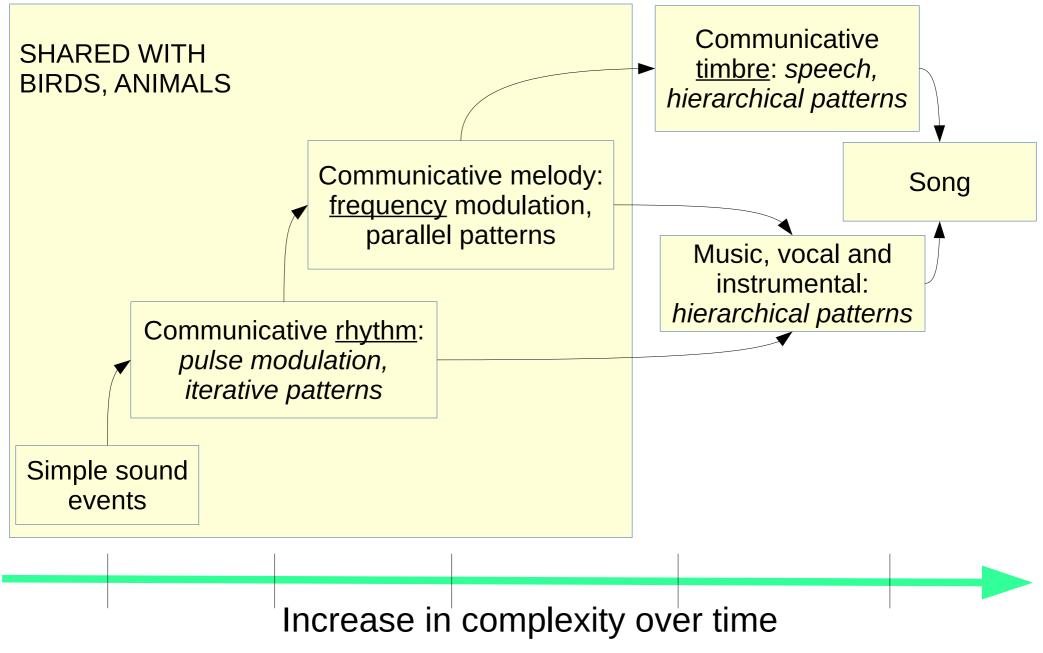
Controversies – musical relativity and universality

- Are there universals of language and speech?
- Is there language-specific 'musical relativity'?
 - does music shape language?
 - Does language shape music?
 - Does music shape thought?
 - cf. 'linguistic relativity', the claim that language shapes thought
- A speculative example:
 - Robert Hall claimed
 - Elgar's music is mostly popular in Britain because it is heavily influenced by Standard British English intonation:
 - very wide pitch range
 - sudden changes of pitch height (e.g. musical 7th interval)
 - Hall, R. A. Jr. 1953. Elgar and the intonation of British English. *Gramophone* 31, 6.

Controversies – co-evolution of music and speech?

- Both speech and music may have
 - Shared phases in the evolution of behaviour
 - Shared phases in the evolution of cognition?
- A speculation on co-evolution of music and speech:
 - first simple vocalisations and gestures
 - sequential iteration leading to rhythm synchrony with other bodily activities?
 - parallel iterated signals
 - speech and music with iterations (coordination)
 - hierarchical patterns (headedness, subordination)
 - Ontogenesis:
 - first rhythm and melody, then vocabulary then grammar
 - Zoosemiotics:
 - simple rhythms of animal speech, from barking dogs to birds
 - simple melodies from birdsong to complex primate ape cries
- So which came first or did both come together?

Controversies – co-evolution of music and speech?



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Music: Rhythm and Melody (and more)

Music: Rhythm and Melody (and more)

- What is rhythm?
 - Ella Fitzgerald: "I have rhythm."
 - Spoken English type of 'foot-timed' rhythm
- What is melody?
 - Bob Dylan: "The answer is blowing in the wind."
 - Spoken English type of intonation-like melody
- Rhythm and melody:
 - other cultures, languages, registers:
 - West African parable songs, dirges (funeral songs / chants)
 - Blues
 - Chinese popular songs: tone match in speech and song?
 - Children's chants: speech or music?
 - Surrogates: whistled speech (e.g. calls, interjections)

What do speech and music share?

- Song:
 - spoken language may influence music in
 - rhythm
 - melody
 - but this is not always true
 - and may be completely wrong for instrumental music
- English popular songs: speech tends to influence music
 - Rhythm:
 - phrasing adapted to English grammar
 - accents adapted to Engllish stress and focus
 - Melody:
 - local accents on syllables and words
 - global tunes and accents on phrases
- So which came first? Or did both arise together?

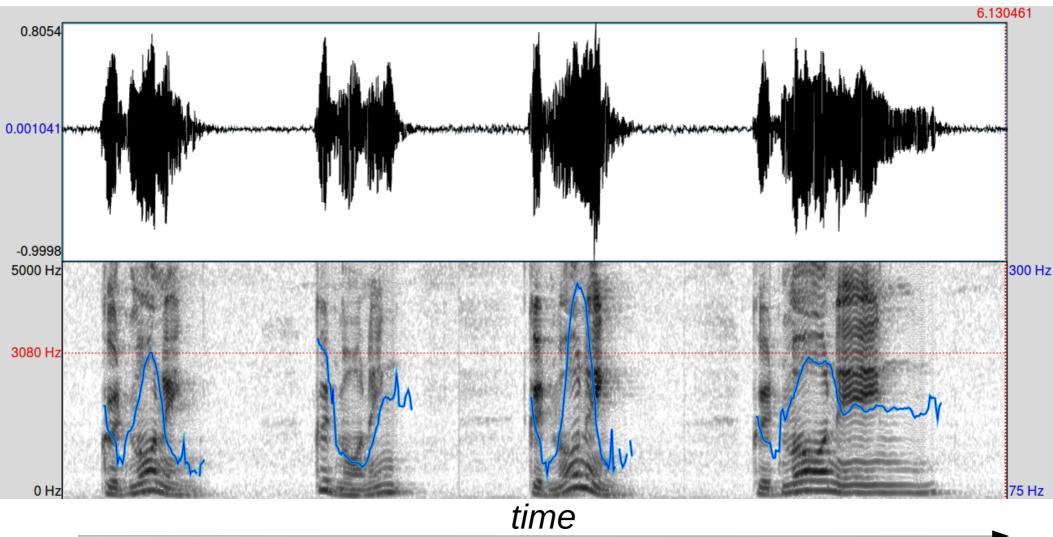
What do speech and music share?

- Both music and speech are based on temporal constraints on the human body:
 - Body rhythm timing:
 - approximately one main movement per second:
 - foot stamping, running, walking
 - hand clapping, head nodding
 - chewing, sucking
 - hand-shaking, intimate interaction
 - and ... syllable sequences
 - Musical rhythms follow these rhythms and their timing
 - In European music, for example:
 - 2/4: Johnny walked along the narrow road
 - 3/4: Jonathan Appleby trundled along to the market place
 - Fundamental frequencies of musical instruments sound within the range of of the fundamental frequency of the human voice
 - The criterion is VOICE, not HEARING

What do speech and music share?

- Three kinds of information-bearing modulation:
 - melody
 - <u>modulation</u> of fundamental frequency of signal over time
 - timbre
 - <u>modulation</u> of overtone (harmonic) patterns:
 - voice: different vowels, different voice qualities
 - music: resonance qualities of instrument
 - rhythm
 - <u>modulation</u> of
 - sequences of stronger and weaker elements
 - more or less regular intervals between stronger elements
 - varying patterns between weaker elements
 - voice: stress timing, syllable timing, mora timing
 - music: 3/4, 4/4, 5/4, 6/8, ...

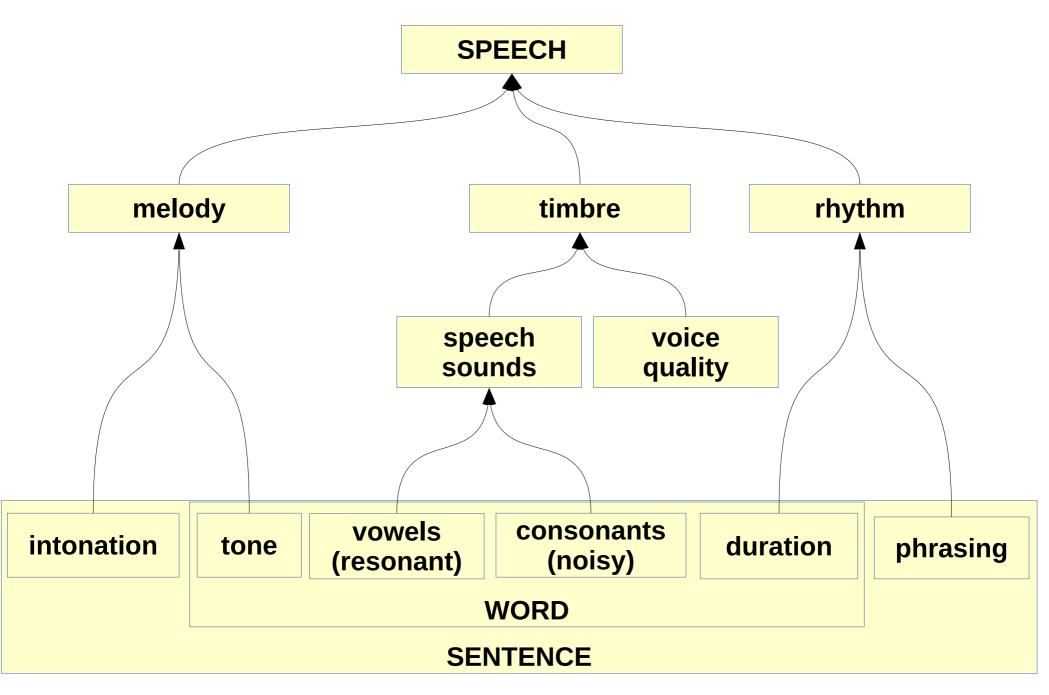
Pitch (melody) – Spectrum (timbre) – Time (rhythm)



Signal time domain \approx waveform (oscillogram): top, black Melody \approx fundamental frequency (F0, f0, pitch track/trace): bottom, blue Timbre \approx spectrogram (harmonics/overtones, formants)

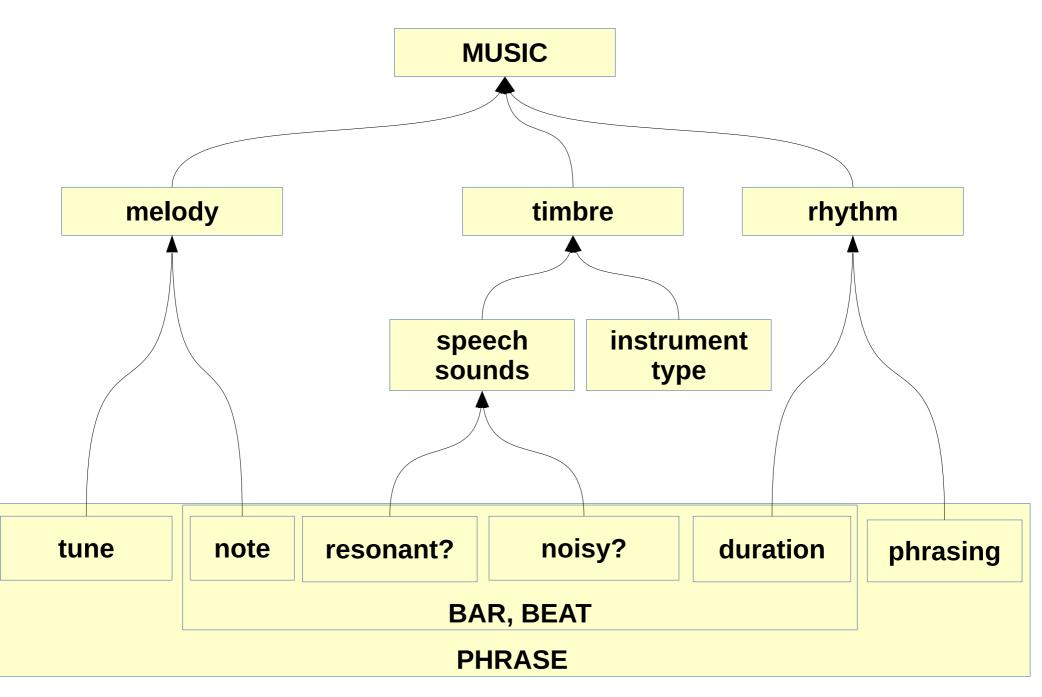
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Speech (Spoken Language)



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Music



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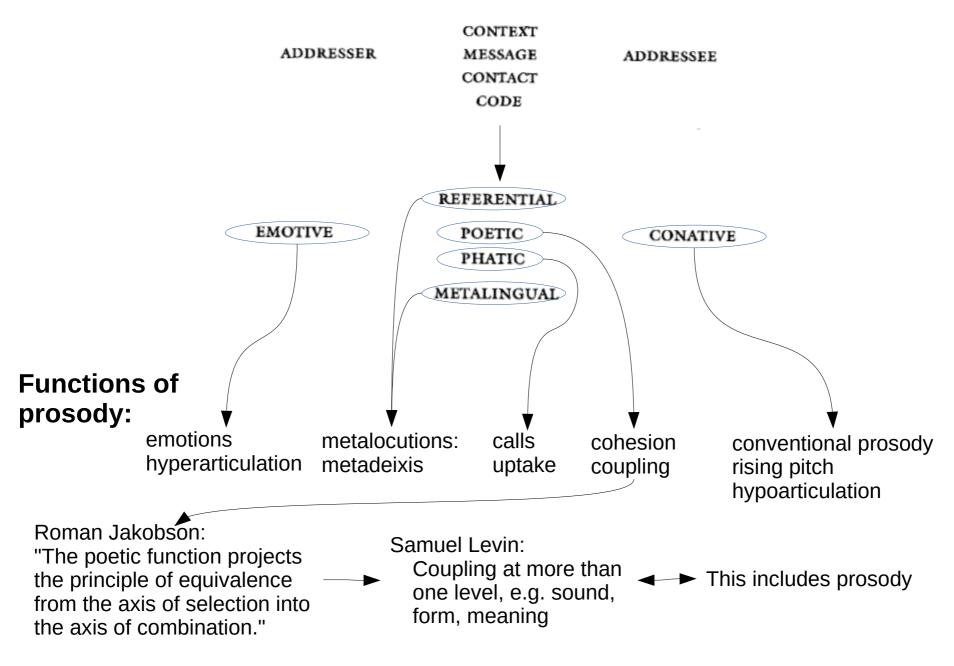
Jakobson's Constitutive Factors and their Functions

Levin's Syntagmatic Coupling

The Meanings of Discourse Structure

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Jakobson's Constitutive Factors and their Functions



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Levin's Jakobsonian "coupling"

4.4 Even convergence as defined above, that is, as the intersection of an independent phonic or semantic component with an independent positional component, is trivial, however, since it too is always present in any linguistic sign. It is thus not convergence merely that is important, but the relation between one convergence of this sort and another. It is only when we compare two such convergences and find a certain relation between them that we have the structure which is important for poetry. This relation is one in which the two convergences comprise naturally equivalent forms (i.e., equivalent as to sound or meaning, or both) occurring in equivalent positions; in other words, where we have a particular coupling of convergences. Now, any two forms occurring in equivalent positions represent a pairing of convergences; only if the forms are naturally equivalent, however, do we have COUPLING, the structure that is important for poetry.

Levin, Samuel. 1969. Linguistic Structures in Poetry. The Hague, Mouton.

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Coupling as cohesion of poetic structure and function

Stylised rhythm, very little melody (for example: rap) Dax – "The Next Rap God (Eminem Remix)

I aint PUTting no ONE on a PEdestal I don't BEEF when I MEET with a BEAT i might SPIT but I PROmise i'm NOT homoSEXual I got BARS in a TANdem they WALK on a LINE with the LITeral AND hypoTHETical NIGgas is SNAKES all the WEED in the GRASS got it HI-on the-LOW like it's OFF of an EDIBLE

> Stylised speech rhythm and melody (for example: ballad) Paul McCartney – "Yesterday"

George: And it's a song with featuring just Paul and it's called "Yesterday"!	
Paul:	
Vastarday, all my traubles assemed as far away	

Yesterday, all my troubles seemed so far away. Now it looks as though they are here to stay. Oh I believe in yesterday.

Roman Jakobson (1960):

"syntagmatic coupling" embodies a structural layer typical of poetry

Jakobson did not refer to song, but in these examples: phonemic coupling: *alliteration* (consonantal), *assonance* (vocalic) syllabic coupling: *stress*, *rhyme* **phrasal coupling:** *rhythm*, *melody*

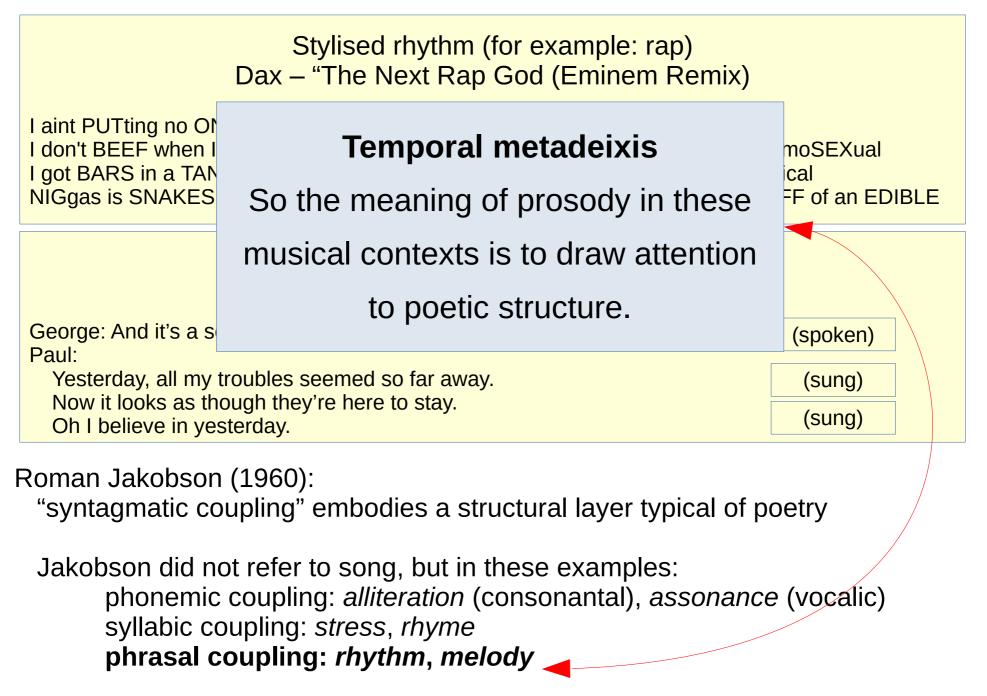
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(spoken)

(sung)

(sung)

Metalocutionary Theory: Prosody is about locutions

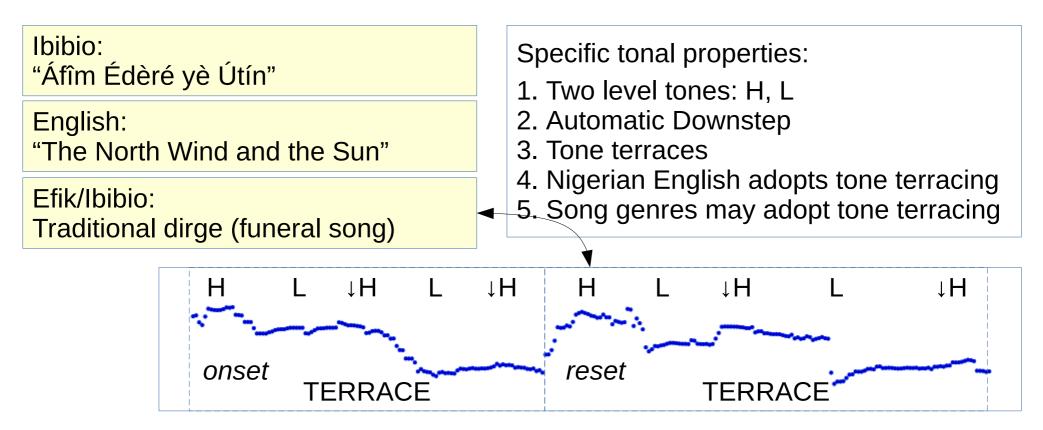


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Prosodic Coupling: Song Terracing with Lexical Tones

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Transfer of Prosodic Coupling

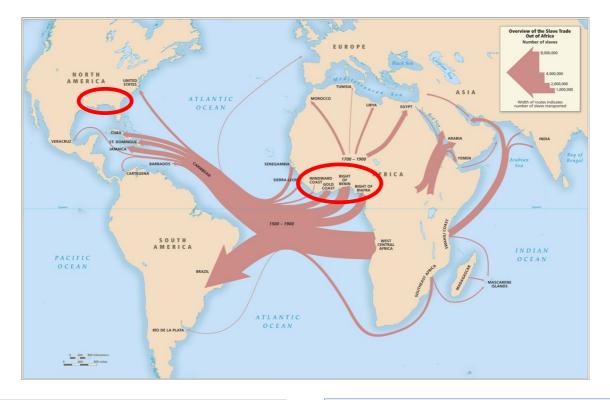


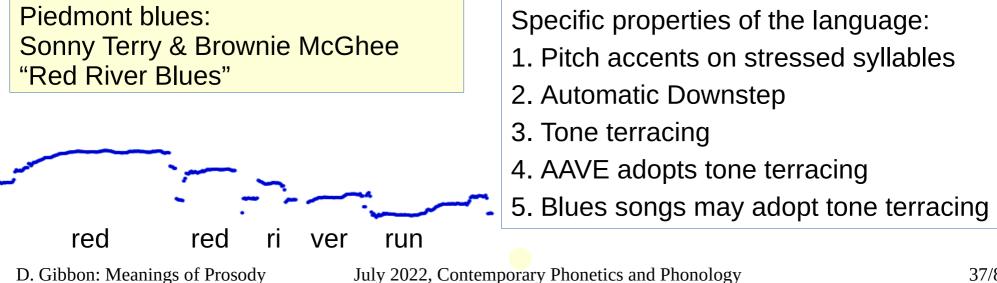
Two cultural / sociolinguistic tone system transfers:

- 1. Genre transfer: speech \rightarrow music
- 2. Language transfer: tone language \rightarrow English

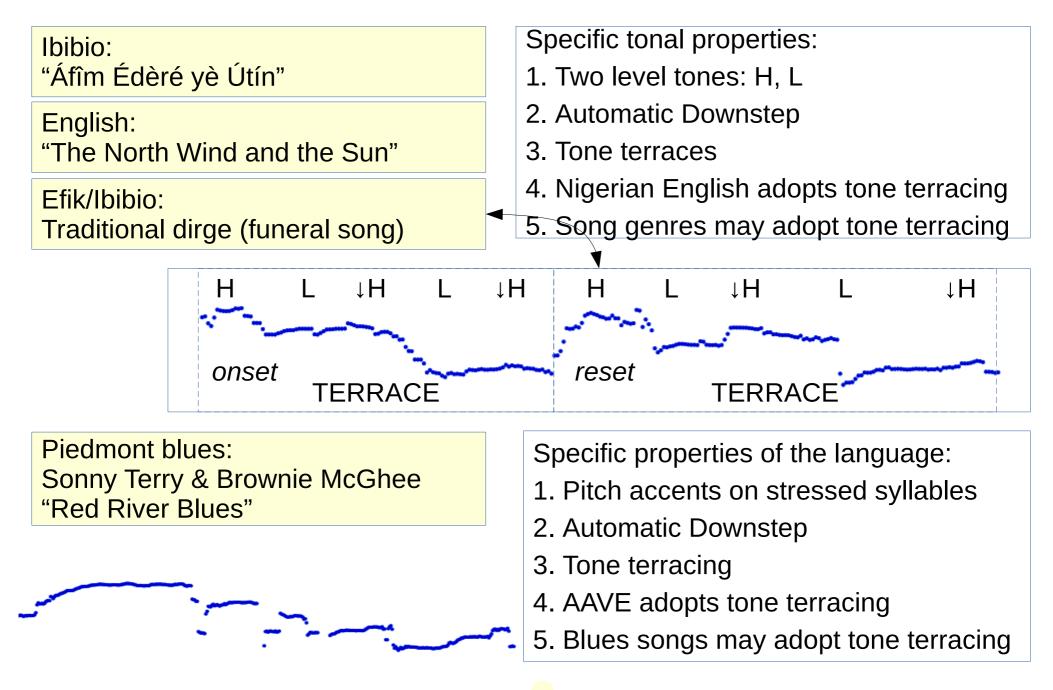
Another scenario: Niger-Congo languages and the Atlantic slave trade

Geographical prosodic transfer – the Atlantic slave trade





Geographical prosodic transfer – the Atlantic slave trade

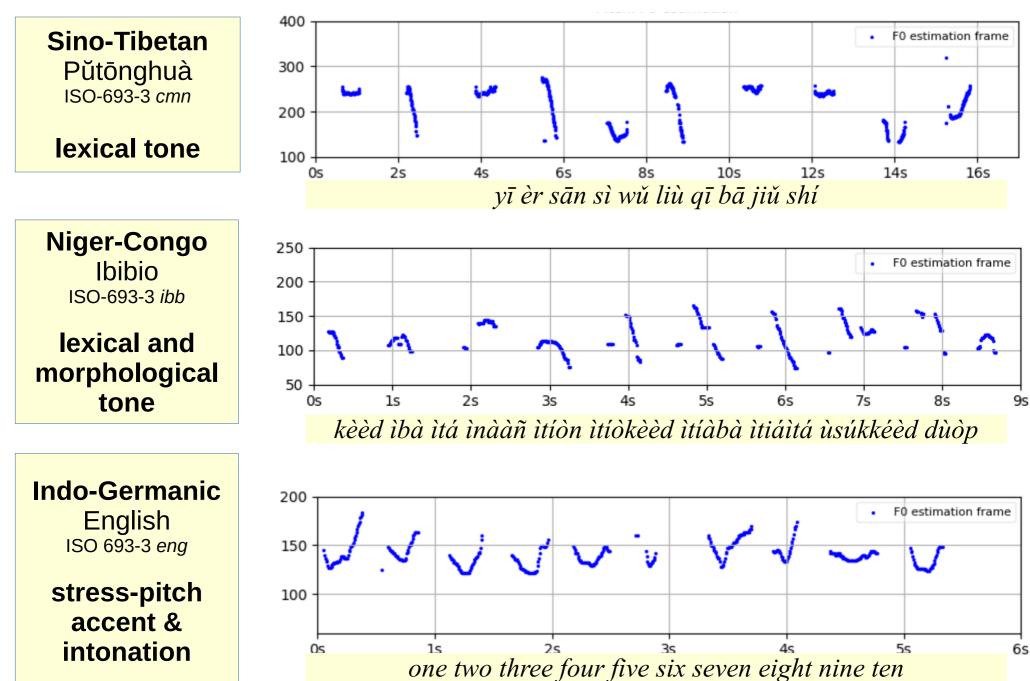


More on the Cohesion Function of Prosody

English pitch accent sequences Dilley

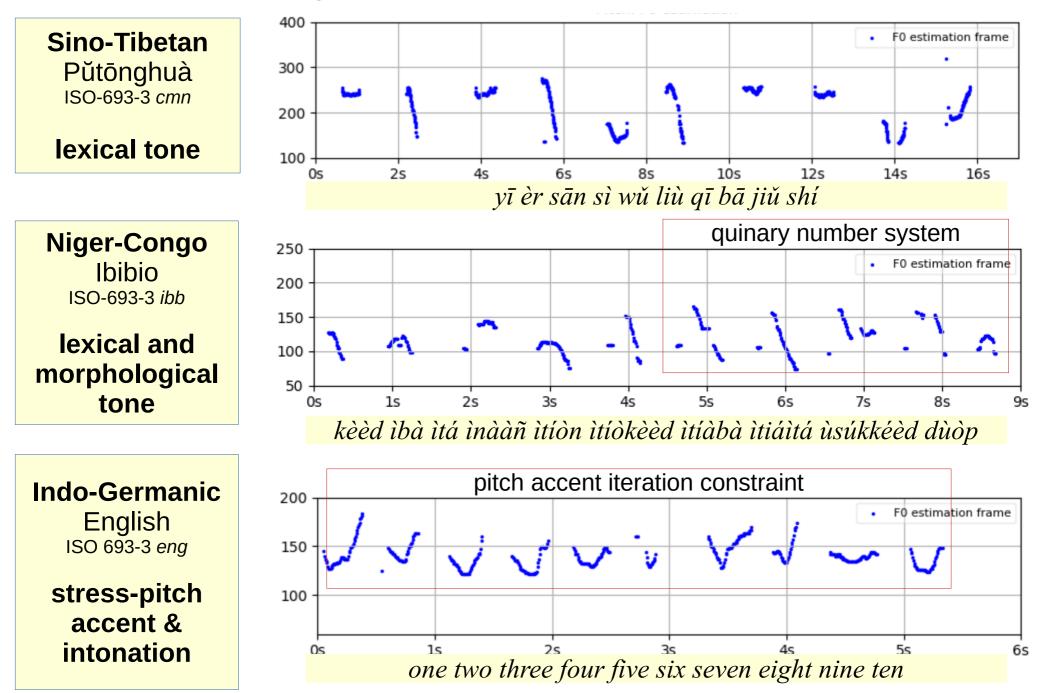
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Types of Prosodic Cohesion



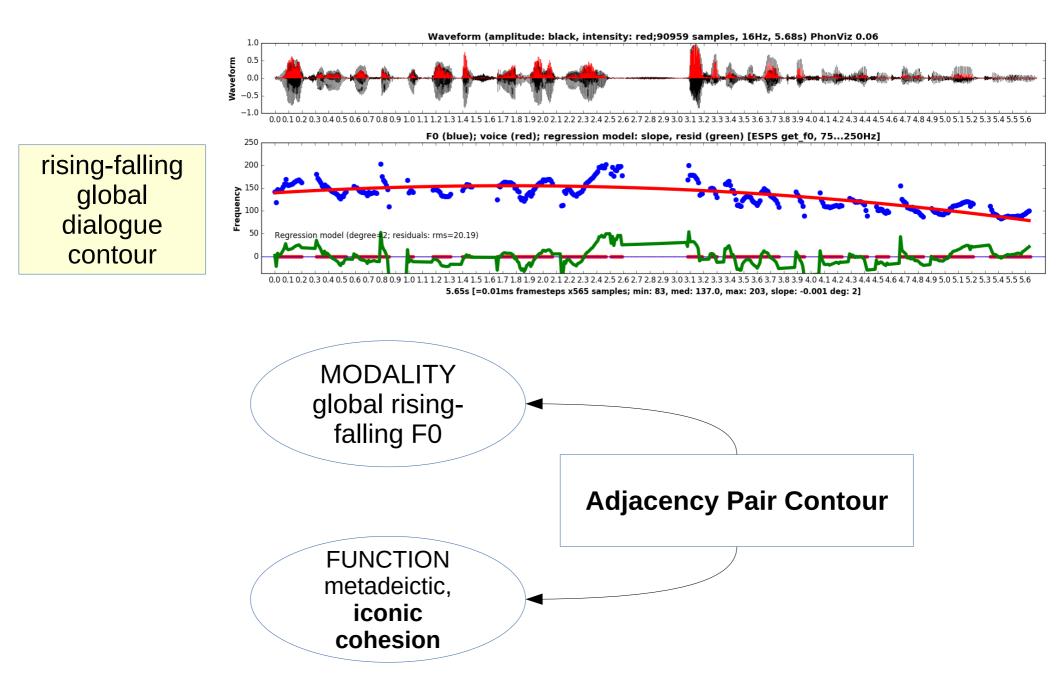
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Types of Prosodic Cohesion



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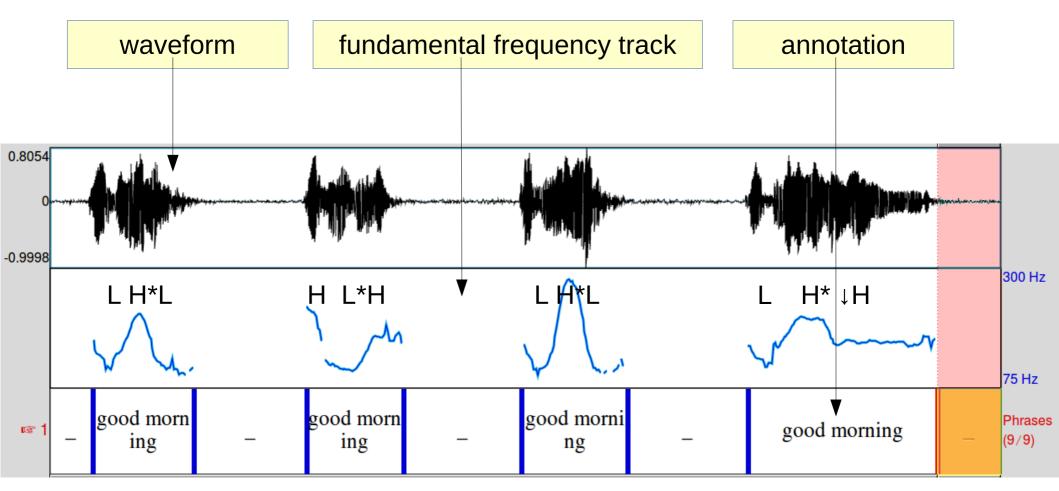
Types of Prosodic Cohesion: Dialogue



Speech Melody and its Pragmatic Meanings

Speech Melody and its Pragmatic Meanings

Four ways of saying "Good morning!"



Note that traditional notations do not account for

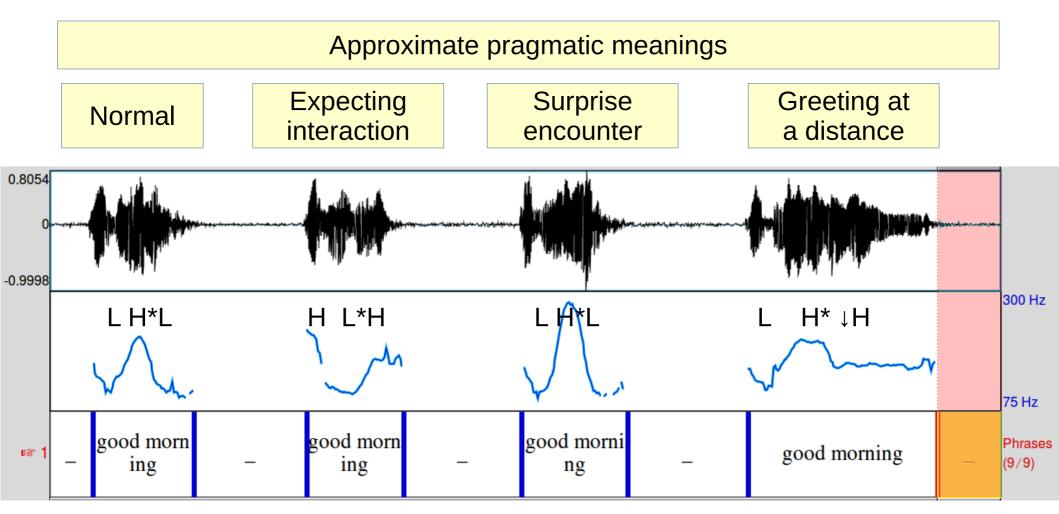
- extra high (emphatic)
- chromatic (stylised contour)

on examples 3 and 4, respectively.

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Speech Melody and its Pragmatic Meanings

Four ways of saying "Good morning!"



Note that traditional notations do not account for

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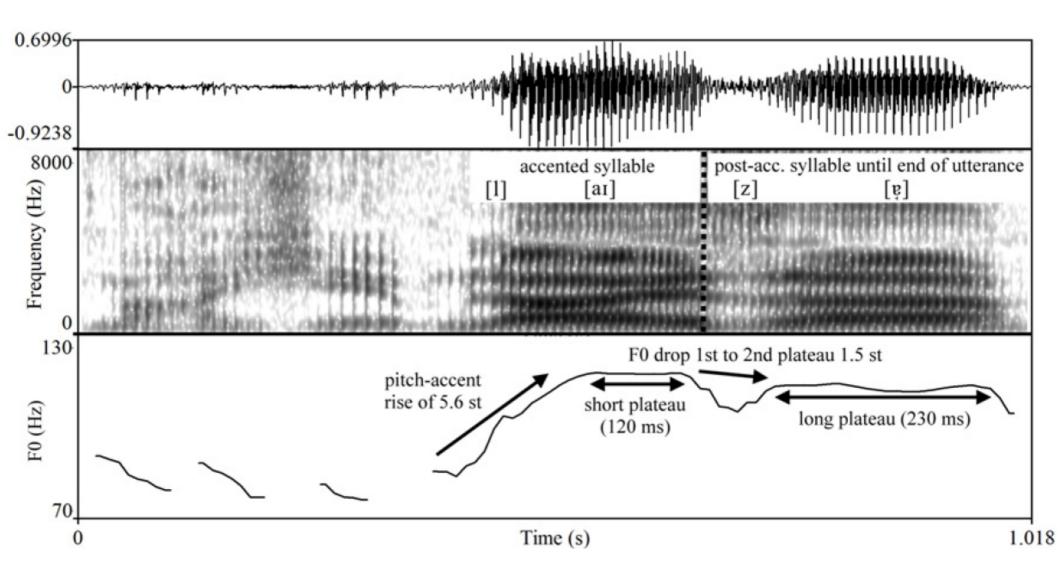
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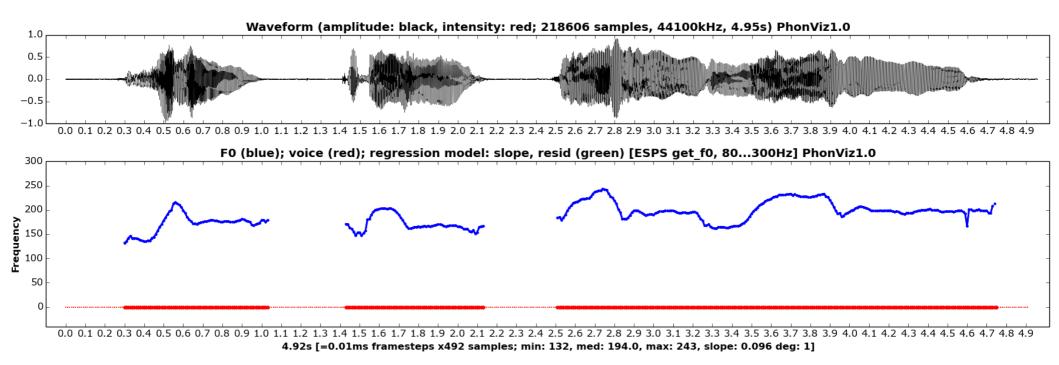
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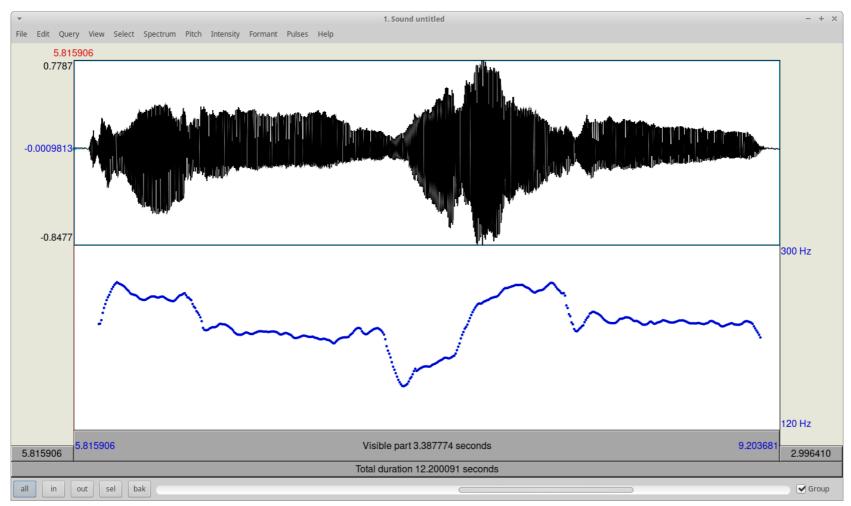
Between Speech and Song

- 'Call contours'
 - stylised, flat pitches
 - musical intervals, e.g. minor 3rd (3 semitones)
- Chants:
 - childrens' chants
 - it's raining, it's pouring, the old man's snoring, ...
 - cowardy cowardy custard, your face is made of mustard
 - vendors' sales chants
 - religious liturgical chants
 - rap

Universals of Melody: Call Contours and their meaning



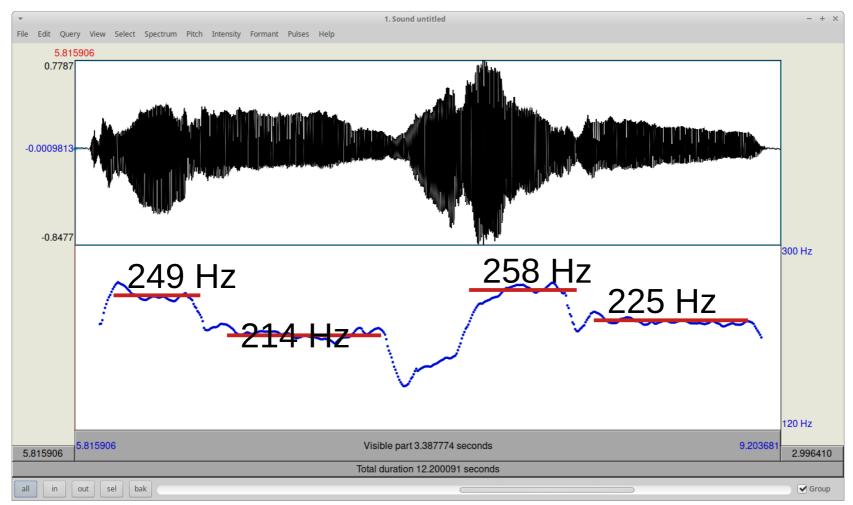


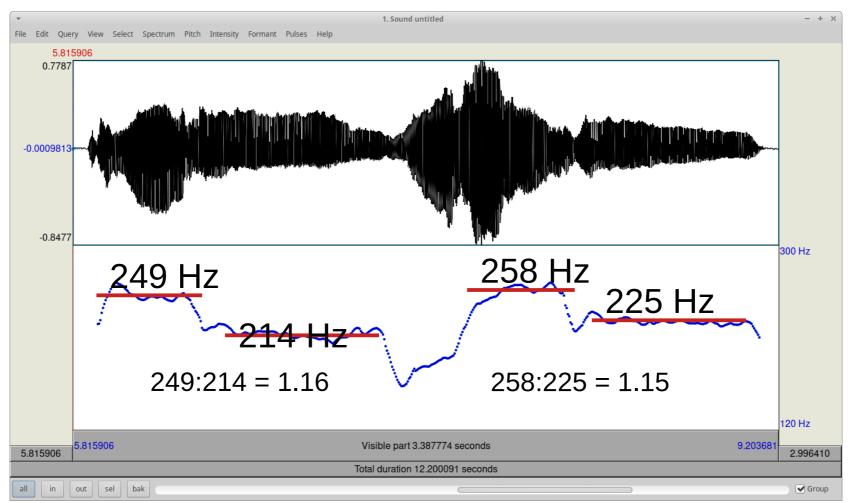


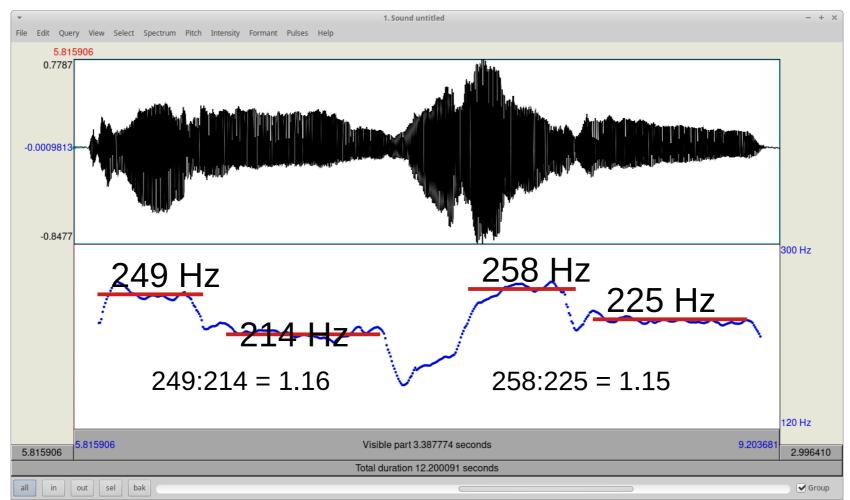
Johnny!

Where are you?

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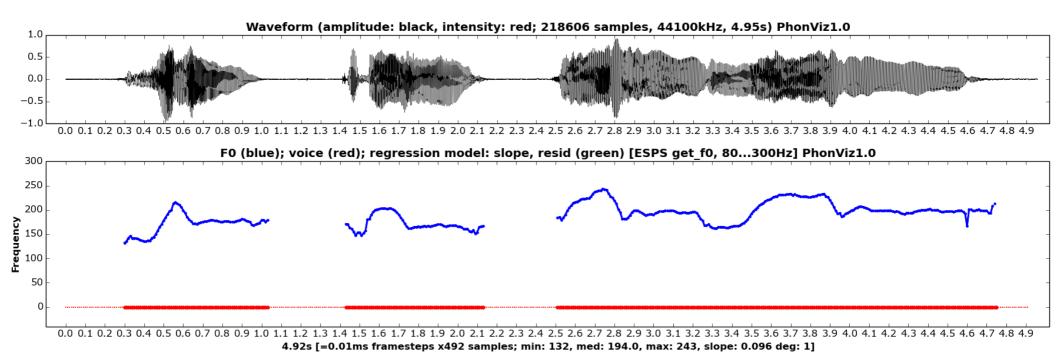




Untypical pitch contour

- Acoustically a sequence of 2 level tones (with natural irregularities)
- Acoustically constant musical interval (with natural irregularities)
- In European music,
 - 3 semitones, 1/4 octave
 - minor third (ratio between 1.12 and 1.19, depending on context in scale)

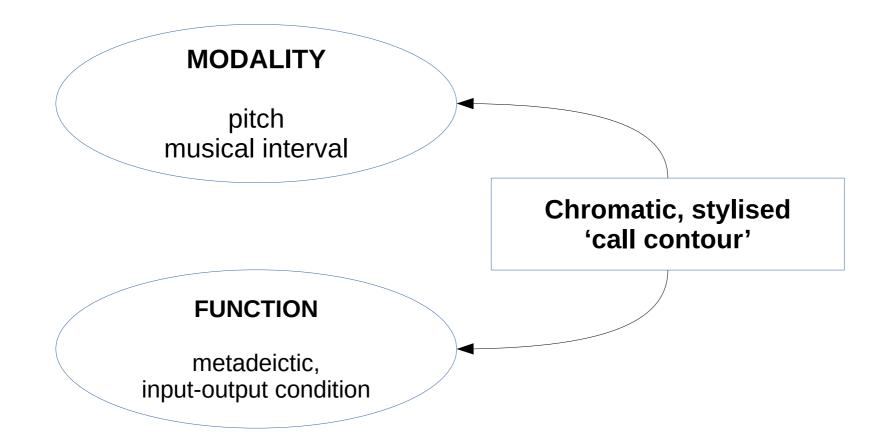
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associated locution	1 st F0 level	2 nd F0 level	F0 ratio	minor 3 rd ratio	
				just	Tempered
hello	212	177	1.198	1.2	1.189
goodbye	201	168	1.196		
Johnny	240	196	1.224		
where are you	230	197	1.168		

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Case study 4: 'call contours'



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Case study 4: 'call contours' – FUNCTION

Discourse structure function in English:

Metalocutionary discourse framing: Start: "Jooohn-neee!" End: "Byyy-eee!"

But <u>not</u> at sentence or phrase rank:

* Yesterday I saw Jooohn-neee in town.

Also a metalocutionary discourse repair function in German:

Lau-ter ("Lauter!", louder) Ich habe Jooohn-neee gesagt!

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Case study 4: 'call contours' – FUNCTION

It has been claimed that the "call contour" is a speech act marker.

The question arises: What kind of speech act marker?

The question can be answered with reference to Searle's version of Speech Act Theory:

There are three basic conditions on speech acts:

- Uptake condition (pragmatics)
 - Normal input and output conditions obtain
- Essential condition (pragmatics)

Commitment to action

Sincerity condition (semantics)

truth – probability – certainty

Case study 4: 'call contours' – FUNCTION

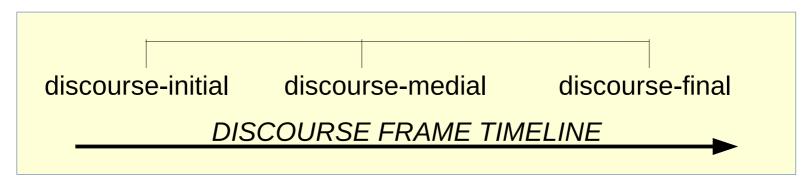
The function can be described in terms of Searle (1969) Uptake Condition for successful speech acts

"1. Normal input and output conditions obtain."

In other words, in the discourse structural terms of Rank Interpretation Theory:

channel opening, repair or closing function in discourse grammar

- ENGLISH: ⁻JOHN-NY - - - - - - BY-E
- GERMAN: Manu⁻E-LA - ⁻LAU-TER - - ⁻WIEDER-SEHEN



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Stylised Speech Prosody

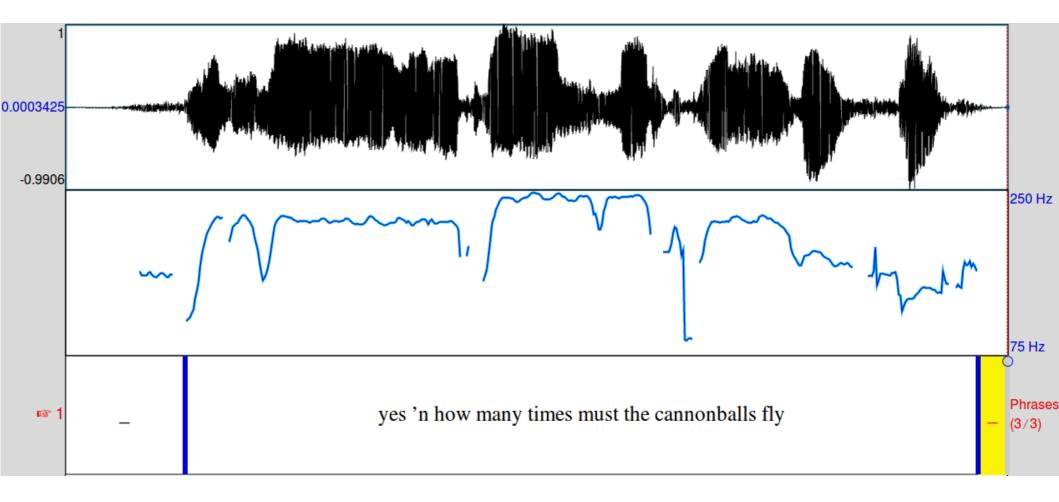
Cohesive Function in Song Revisited

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Stylised Speech Prosody: Cohesive Function in Song

no declination, first phrase high (continuation), second phrase low (termination)

('wh' question)

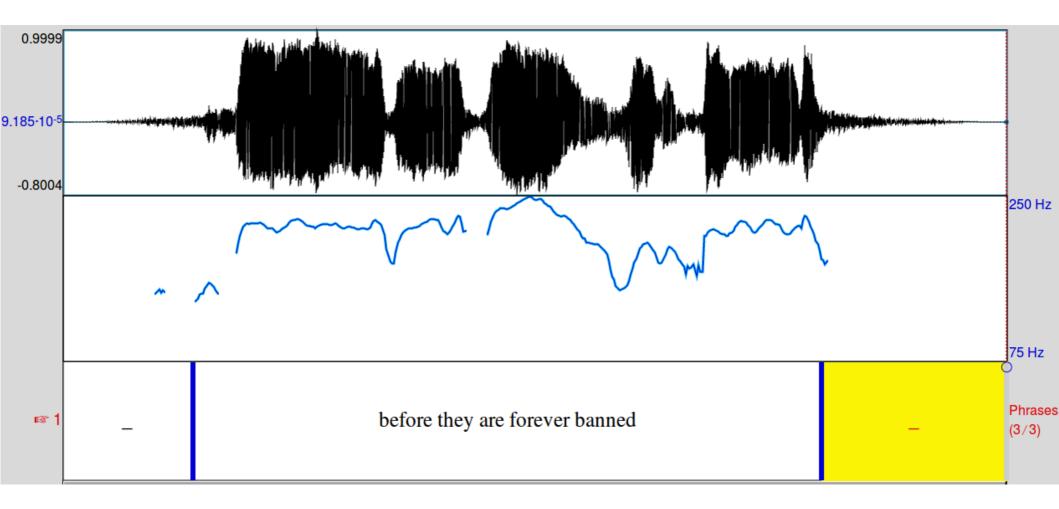


Bob Dylan, The answer is blowing in the wind.

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Stylised Speech Prosody: Cohesive Function in Song

no declination in, final rise: emphasis of question function

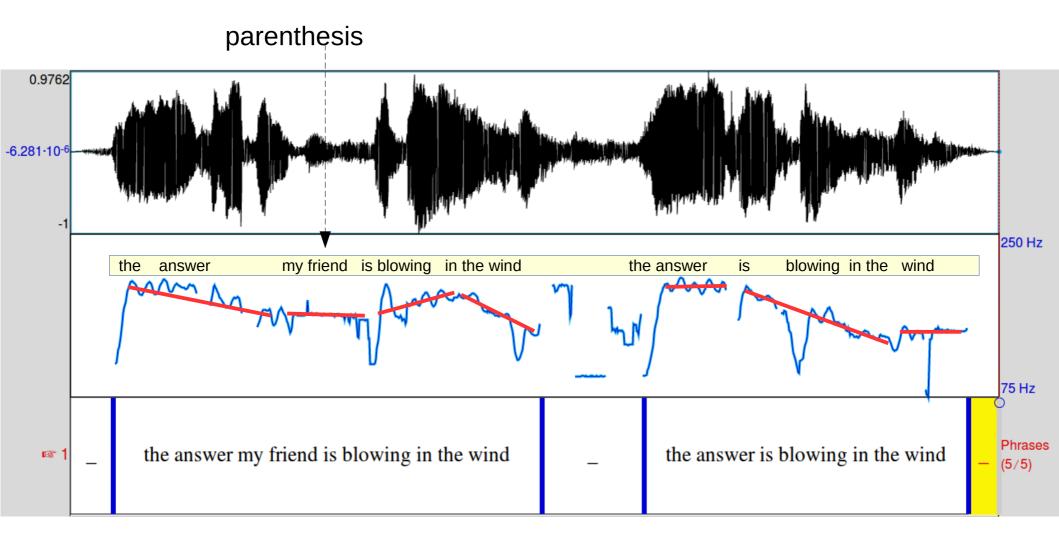


Bob Dylan, *The answer is blowing in the wind.*

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Musical Melody and English Grammar

overall declination in each phrase



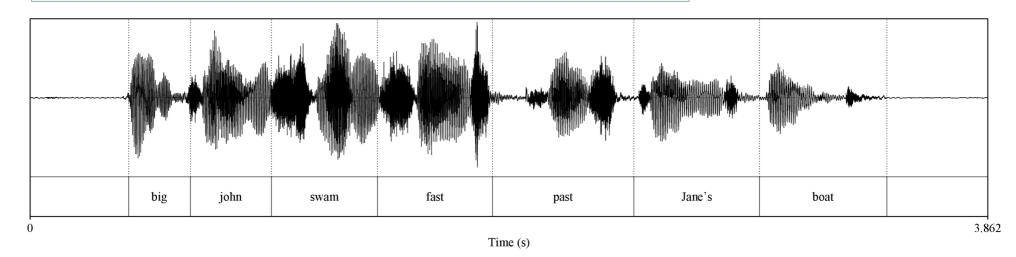
Bob Dylan, The answer is blowing in the wind.

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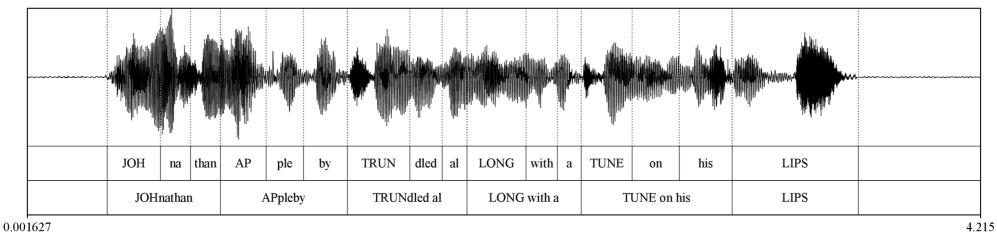
Rhythm, Focus and Grammatical Meaning

Visualising Speech Rhythm

Regular rhythm, 'syllable timing', 'syllable isochrony'



Regular rhythm, 'foot / stress timing', 'foot isochrony': here - coupling



Time (s)

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Speech Rhythm and Semantic Operator Scope

- Rhythm and phrasing:
 - Resolution of ambiguity of modifier scope:
 - old men and women will stay here
 - (old (men and women)) will stay here
 - ((old men) and women) will stay here
 - Resolution of ambiguity of operator scope:
 - he did not leave because he was tired
 - he did (not (leave because he was tired))
 - he did (not leave) because he was tired
 - Resolution of prepositional phrase attachment
 - I saw the man on the hill with a telescope
 - I saw (the man on the hill) with a telescope
 - I saw the man (on the hill with a telescope)

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(0 0)

00

Speech Rhythm and Grammar

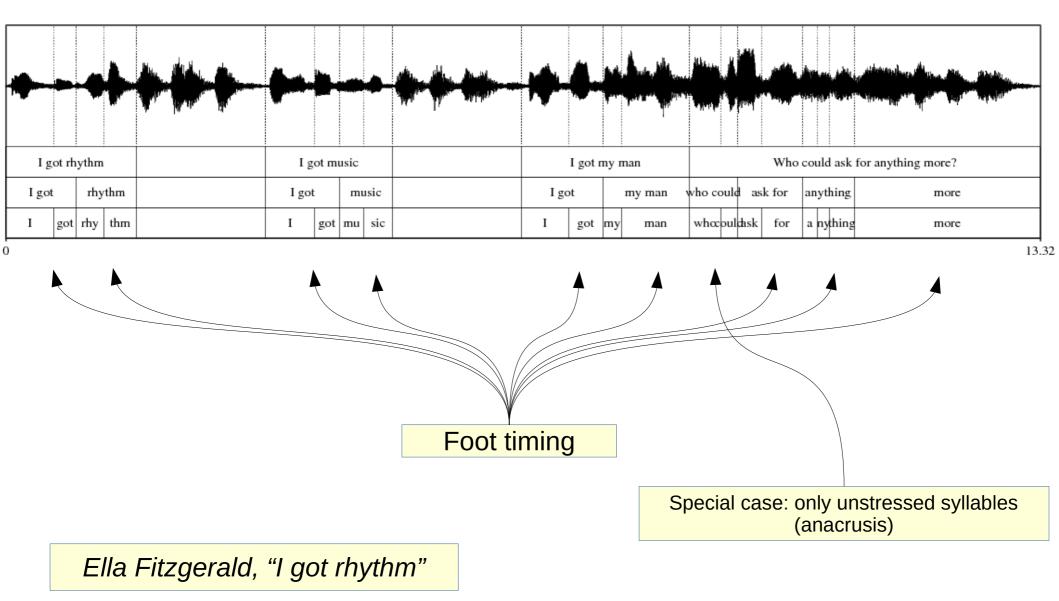
- Rhythm and focus:
 - Neutral focus:
 - I like red SHIRTS
 - Emphatic focus:
 - I <u>LIKE</u> red shirts
 - Contrastive focus:
 - Jack likes red SHIRTS
 - JACK likes RED shirts

With four constituents, there are 16 possibilities.

Depending on the intensity of the pitch accent, these may be ambiguous

Rhythmic Structure in Song

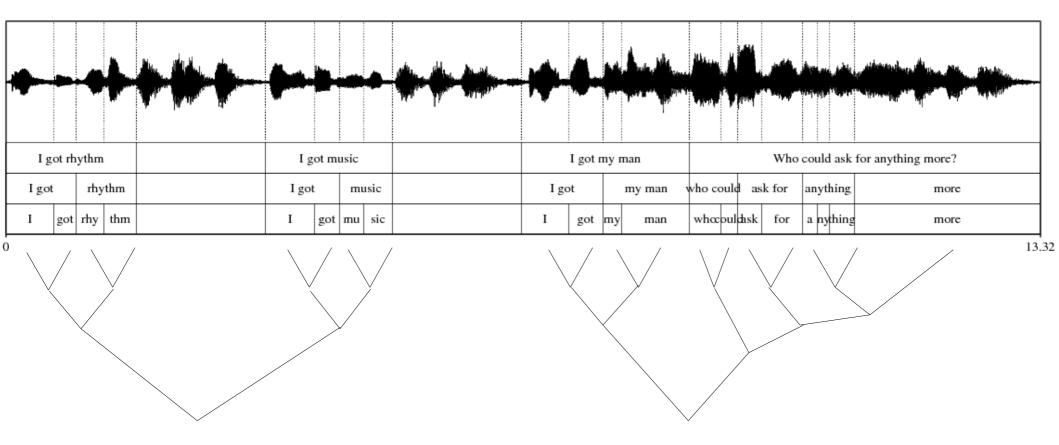
Rhythmic Structure in Song: a Timing Hierarchy



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Rhythmic Structure in Song: a Grammatical Hierarchy

Note that the musical structures ALMOST fit the grammatical structures!



Ella Fitzgerald, "I got rhythm"

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Further Descriptions of Prosodic Meaning

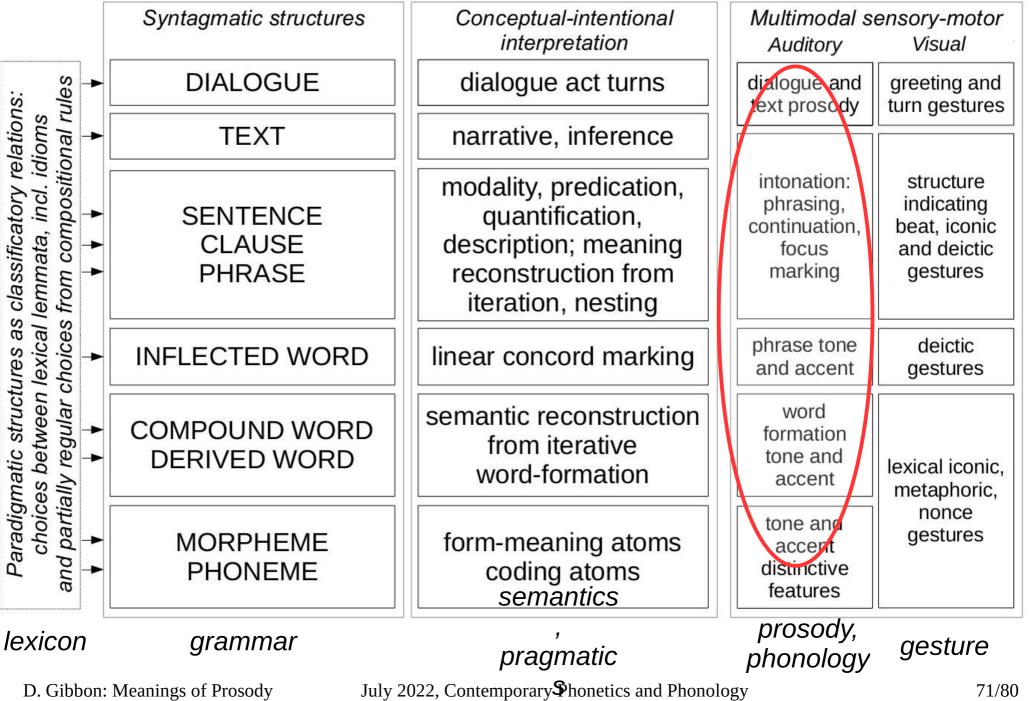
cf. also:

Büring, Daniel. 2016. Intonation and Meaning. Oxford: Oxford University Press.

Ward, Nigel G. 2019. Prosodic patterns in English conversation. Cambridge: Cambridge University Press, 2019.

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Prosody in the Rank Interpretation Architecture



Prosody in the Rank Interpretation Architecture

Discourse functions

discourse framing turn-taking continuity speech act marking

Speaker characterisation

identity, personality sentiment, excitement

Information structure

given-new

focus, contrast, emphasis

Grammatical cohesion

phrasing boundary marking rhythm grouping contour coherence disambiguation

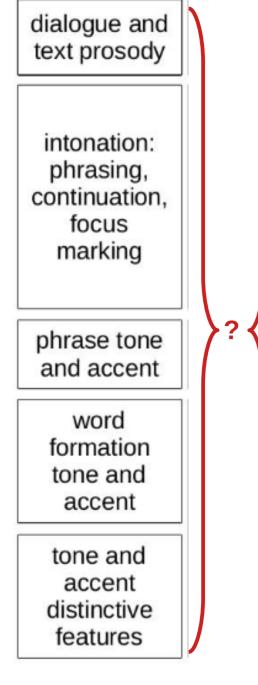
morphosyntactic tone

Lexical functions

phonemic & morphemic stress pitch accent tone

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How do prosodic markers and functions relate to category ranks?



MODALITY

Prosodic markers

- call contours
- hesitation, vocalisations
- rise: continuity, uncertainty, subordination
- fall: termination, certainty, superordination
- rise-fall: topic-comment, question-answer, ...

FUNCTION

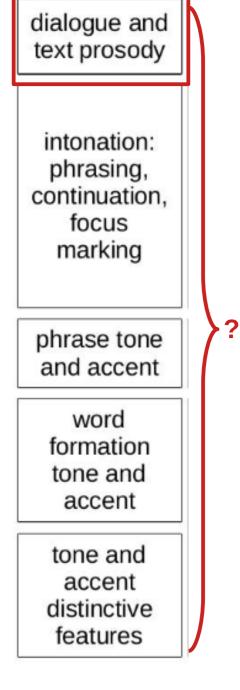
Discourse functions

- discourse framing
- continuity: topic-comment, turn-taking
- grammatical mood, speech act marking
- speaker characterisation

Lexico-grammatical semantic-pragmatic functions

- metalocutionary deixis (metadeixis)
- lexicon: distinctive/contrastive, phoneme/morpheme
- structure: cohesion, configuration: delimitation, culmination

Prosody-relevant complementary taxonomies of speech



functions Peirce: semantic-pragmatic functions

- symbol (morphemic)
- icon (teeny weeny mouse with low pitch)
- index (relation to time, place, person, cause)

Austin (1962), Searle (1969): speech act theory

- locution (syntax, semantics)
- illocution (pragmatics: interactive obligations)
- perlocution (effect: impression, insult, ...

Grice (1975): Cooperative Principle, Maxims of Conversation

- Maxim of quantity (long/short, deep/shallow in detail)
- Maxim of quality (truth/falsity, lying, ignorance, error)
- Maxim of relation (relevance/irrelevance)
- Maxim of manner (clarity/obscurity, direct/indirect)

Hirschberg & Pierrehumbert (1984)

- "semantico-pragmatic effects"
- discourse segmentation, topic/information structure
- parallelism, subordination, topic shift, interruption, turn-taking
- disambiguation, reference resolution, given/new, contrast
- (indirect) speech acts

Grosz & Sidner 1986

- linguistic structure, intentional structure, attitudinal state
- focus, contrast, emphasis, given/new, theme/rheme

A prosodic lexicon: idioms and prosodic morphemes

Greeting:

- Good morning /
- Good morning \

Ambiguity:

- Excuse _me /
- Excuse \ me /

Reproach:

- And so ⁻ you should \setminus
- And so / you should \

Appraisive exclamation:

- Oh / wow /\ (cf. also the "wolf whistle" or "cat-call")

And of course for the 'call contour' idioms

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Universal paralinguistic indexical and iconic functions

Are pitch properties universal?

pitch height – biological size

intensity, range – energy and precision

boundary tones, declination – structure marking

Are pitch functions universal?

paralinguistic:

global: excitement (range)

local: insistence (prominence), e.g. No-wo-wo-wo!

linguistic:

intonation hierarchy (paratone) accent sequence constraints

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Note that some markers and paralinguistic functions are shared with animals.

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Universal paralinguistic indexical and iconic functions

Indexical functions of prosody

Speaker characterisation:

- indexicality: identity, personality
- emotionality: attitude, sentiment, excitement
- size, gender, age

Direct iconic-indexical relation between modality and function leads to universal codes?

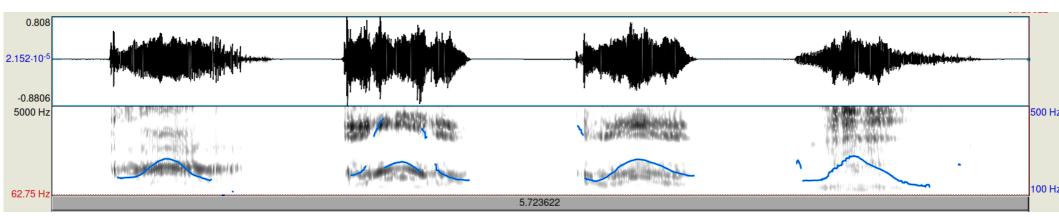
Acoustic:

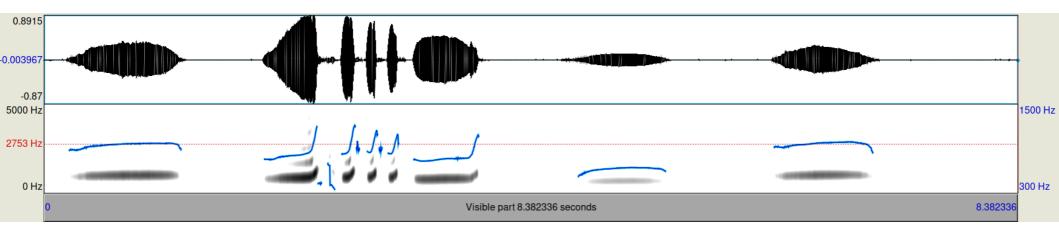
Ohala: Frequency code: size, status, ...

Gibbon: Modulation Code: rhythms and melodies Articulatory:

Gussenhoven: Size Code, Effort Code, Production Code

Expressive Intonations





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End